FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1494813-000

Total Deleted Page(s) = 3
Page 4 ~ Duplicate;
Page 8 ~ b5; b6; b7C;
Page 9 ~ b5; b6; b7C;

XXXXXXXXXXXXXXXXXXXXXXX
X Deleted Page(s) X
X No Duplication Fee X
X For this Page X
XXXXXXXXXXXXXXXXXXXXXXX

**Complaint Form**
FD-71 (Rev. 2-13-86)

NOTE: Hand print names legibly; handwriting satisfactory for remainder.
**Indices:** ☒ Negative ☐ See below

Subject's name and aliases

CAPITAL MANAGEMENT SERVICES
701 W. CYPRESS CREEK RD.
FT. LAUDERDALE FL

Character of case

196C-DE-

[redacted]

b6
b7C

Complaint received

☐ Personal ☒ Telephonic Date 8-2-90 Time 1115/A

[redacted]

Address of subject

SAME

[redacted]

Subject's Description

| Race | Sex ☐ Male ☐ Female | Height | Hair | Build | Birth date and Birthplace |
|---|---|---|---|---|---|
| Age | | Weight | Eyes | Complexion | Social Security Number |

Scars, marks or other data

NA

Facts of complaint

COMPLAINANT ADVISES that he has been defrauded by Capital Management Services of 701 W. Cypress Creek Rd, Ft. Lauderdale, Fl. (Telephones: (305)938-0999 & 1-800-342-8114.) COMPLAINANT STATES that [redacted] with this company AND they have subsequently failed to perform AS STIPULATED BY CONTRACTUAL AGREEMENT. COMPLAINANT further STATES that CMS will not return phone calls NOR respond to letters written by COMPLAINANT. COMPLAINANT ADVISES the Principals of the organization are:

[redacted]

b6
b7C

COMPLAINANT WAS ADVISED that SA would CALL to set appointment w/ him to further discuss MATTER IN coming weeks.

(MIAMI INDICES - NEGATIVE)

Do not write in this space.

196C-DE-6[redacted]

[redacted]

SA [redacted]

8/2/90

[redacted] Processing

b6
b7C

[redacted]

b6
b7C

[redacted]

[redacted]

[redacted] b6 b7C

In July of 1989, [redacted] telephoned CMS and asked for [redacted]. At that time, he was told that [redacted] was no longer with the firm and was referred to [redacted]. He stated [redacted] then took over his account and continued to act as his broker. He stated that in the late fall of 1989, he continued to call [redacted] did not return his telephone calls. He stated that in approximately January of 1990, he wrote a letter to [redacted] complaining about his treatment. He stated that in May of 1990, he wrote a letter to [redacted] of CMS, asking that his account be closed and that monies be returned to him. CMS's phones are now disconnected and mail being sent to this address is returned to him. [redacted] advised that in late 1989 and early 1990, he had written several letters and talked with both [redacted] regarding [redacted] He stated they continued to ignore his stop loss, sell order, and charged a very high commission (13 percent).

[redacted] has been advised that he may wish to seek appropriate civil remedies inasmuch as commodity futures are extremely speculative, and CMS may h ave gone out of business under legitimate circumstances. In the event Miami has information to the contrary, [redacted] can be re-interviewed and appropriate documentation obtained. b6 b7C

FD-340b (Rev. 4-2-85)

[redacted]

**Field File No.** 196C-DE-60500-1a-1

**Serial # of Originating Document** _____

**OO and File No.** _____

**Date Received** 3/5/91

**From** _____
(NAME OF CONTRIBUTOR)

_____
(ADDRESS OF CONTRIBUTOR)

**By** [redacted]

b6
b7C

To Be Returned ☐ Yes ☐ No Receipt Given ☐ Yes ☐ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure
☐ Yes ☐ No

Title:

Reference: _____
(Communication Enclosing Material)

**Description:** ☐ [redacted]

[redacted]

FD-36 (Rev. 8-29-85)

FBI

TRANSMIT VIA:
☐ Teletype
☐ Facsimile
☒ AIRTEL

PRECEDENCE:
☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:
☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 4/12/91

TO : SAC, MIAMI

FROM : SAC, DETROIT (196C-DE-60500)(C)(C-5)

SUBJECT : [redacted] b6 b7C

DBA CAPITAL MANAGEMENT SERVICES,
701 West Cypress Creek Road
Fort Lauderdale, Florida,
FBW; MF
(OO: DETROIT)

For information of the Miami Division, [redacted] telephone number [redacted] advised on 4/5/91, that he was telephonically contacted by [redacted] of CAPITAL MANAGEMENT SERVICES (CMS), 701 West Cypress Creek Road, Fort Lauderdale, Florida. [redacted] had been purchasing and taking possession of [redacted] past several years and had accumulated approximately [redacted] contacted him in early 1989 and indicated to [redacted] He talked [redacted] into sending the [redacted] to him, which he did, and [redacted] thereafter [redacted]

2 - Miami
1 - Detroit
[redacted] b6 b7C

C-5

196C-DE-60500-2

SEARCHED
SERIALIZED
INDEXED
FILED

Approved: _____ Transmitted _____ (Number) _____ (Time) Per _____

FD-340a (Rev. 10-3-77)

(Title) _____

(File No.) 196C - 60500 - 1G

| Item | Date Filed | To be returned Yes | To be returned No | | Disposition |
|---|---|---|---|---|---|
| 1. | 7/19/91 | | | Original notes re interview of [redacted] | [redacted] |
| | | | | [redacted] | |

b6
b7C

196C - 60500 - 1Q

[redacted]

JUL 19 1991

[redacted]

b6
b7C

FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1494813-000

Total Deleted Page(s) = 3
Page 39 ~ Duplicate;
Page 46 ~ Duplicate;
Page 47 ~ Duplicate;

XXXXXXXXXXXXXXXXXXXXXXX
X Deleted Page(s) X
X No Duplication Fee X
X For this Page X
XXXXXXXXXXXXXXXXXXXXXXX

FD-542 (Rev. 03-23-2009)

[redacted] 45001,549

b3
b6
b7C
b7E

UNCLASSIFIED

# FEDERAL BUREAU OF INVESTIGATION

**Precedence:** ROUTINE **Date:** 09/02/2010

**To:** WMD Directorate **Attn:** SSA [redacted]
Laboratory **Attn:** SSA
Buffalo **Attn:** WMD[redacted]
**Attn:** SSA

**From:** Buffalo [redacted]
**Contact:** SABT [redacted]

**Approved By:** [redacted]

**Drafted By:** [redacted]

**Case ID #:** [redacted]

**Title:** UNUSB(s);
CAPITAL MANAGEMENT SERVICES - VICTIM;
THREATENED USE OF A WMD;
OO: BUFFALO

**Synopsis:** To report [redacted] response, open an investigative case, and claim statistical accomplishments.

**Details:** On 08/30/2010, at approximately 12:15pm, [redacted] SABT [redacted] received notification that a threatening letter, which contained an obvious unknown white powder was received by CAPITAL MANAGEMENT SERVICES (CMS), INCORPORATED, 726 Exchange Street, Suite 700, Buffalo, NY 14210. The letter read "Fuck You Capital Management." Notification of the threatening letter was received from [redacted] Buffalo Fire Department.

b3
b6
b7C
b7E

The Buffalo Fire Department Hazardous Materials Team initiated field screening of the threatening letter (results negative). SABT [redacted] responded to assume custody of the evidence once the HazMat assessment and over-packing was complete.

UNCLASSIFIED

[redacted]

To: WMD Directorate From: Buffalo
Re: [redacted] 09/02/2010

b3
b6
b7C
b7E

A conference call was conducted between SABT [redacted] Also on the conference call were representatives of Erie County Public Health [redacted] and the Buffalo Fire Department [redacted] The facts of the investigation were relayed by the Buffalo Division, including the recent finding that preliminary field screening was negative for explosives, chemical hazards and radiation. All concurred with the Buffalo Division's plan to have Buffalo Fire Department HazMat over-pack the evidence with subsequent transport to the Erie County LRN, by Buffalo Division SA personnel.

At approximately 03:51 PM, the over-packed evidence was delivered to the Erie County LRN, with the appropriate paperwork completed.

Initial preliminary investigation has determined that the return address and mailing address were identical - 726 Exchange Street, Buffalo, NY 14210 (address of Capital Management).

b6
b7C

[redacted] CMS, was interviewed at CMS. [redacted] the white powder along with the written threat "Fuck You Capital Management." Per CMS protocol, as soon as the threat was identified [redacted] the letter was immediately secured in a plastic container and 911 was called. This occurred at approximately 11:30am. [redacted]

The following is the contact information for [redacted]

Name: [redacted]
Title:
Address:

Telephone:
Cellular:
Email:

To: WMD Directorate From: Buffalo
Re: [redacted] 09/02/2010

b3
b6
b7C
b7E

On [redacted] AUSA [redacted] US Attorney's Office, WDNY, concurred that further investigation is warranted.

The following Buffalo Division, [redacted] members were on-scene to act as Subject Manner Experts and to provide any operational support if needed **(Writers note: operational support was not requested by the Buffalo Fire Department HazMat. If support was requested then [redacted] would have obtained prior authorization by [redacted] prior to any operational activity):**

SABT [redacted]
SA [redacted]
SA [redacted]

The Buffalo Division is opening an investigation in an attempt to identify the sender of the threatening white powder letter.

To: WMD Directorate From: Buffalo
Re: [redacted] 09/02/2010

b3
b6
b7C
b7E

**Accomplishment Information:**

Number: 1
Type: [redacted]
ITU: [redacted]
Claimed By:
SSN: [redacted]
Name: [redacted]
Squad: [redacted]

Number: 1
Type: [redacted]
ITU: [redacted]
Claimed By:
SSN: [redacted]
Name: [redacted]
Squad: [redacted]

Number: 1
Type: [redacted]
ITU: [redacted]
Claimed By:
SSN: [redacted]
Name: [redacted]
Squad: [redacted]

b6
b7C
b7E

Number: 1
Type: [redacted]
ITU: [redacted]
Claimed By:
SSN: [redacted]
Name: [redacted]
Squad: [redacted]

Number: 1
Type: [redacted]
ITU: [redacted]
Claimed By:
SSN: [redacted]
Name [redacted]
Squa[redacted]

Number: 1
Type: [redacted]
ITU: [redacted]
Claimed By:

To: WMD Directorate From: Buffalo
Re: [redacted] 09/02/2010

b3
b6
b7C
b7E

SSN: [redacted]
Name:
Squad

Number: 1
Type: [redacted]
ITU:
Claimed By:
SSN: [redacted]
Name:
Squad

Number: 1
Type: [redacted]
ITU:
Claimed By:
SSN: [redacted]
Name:
Squad

b6
b7C
b7E

Number: 1
Type: [redacted]
ITU:
Claimed By:
SSN: [redacted]
Name:
Squad

To: WMD Directorate From: Buffalo
Re: [redacted] 09/02/2010

b3
b7E

**LEAD(s):**

**Set Lead 1: (Info)**

WMD DIRECTORATE

AT WASHINGTON, DC

Read and clear.

**Set Lead 2: (Info)**

LABORATORY

AT QUANTICO, VA

Read and clear.

♦♦

FD-340a (Rev. 11-12-89)

(Title) [redacted] b3 b7E

(File No.) _____

| Item | Date Filed | To be returned Yes | To be returned No | | Disposition |
|---|---|---|---|---|---|
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

b3
b6
b7C
b7E

FD-340 (Rev. 4-11-03)

**File Number** [redacted]

**Field Office Acquiring Evidence** BF

**Serial # of Originating Document** [redacted]

**Date Received** 11/09/2011

**From** _____
(Name of Contributor/Interviewee)

_____
(Address)

_____
(City and State)

b6
b7C

**By** SA [redacted]

To Be Returned ☐ Yes ☒ No

Receipt Given ☐ Yes ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure

☐ Yes ☒ No

Federal Taxpayer Information (FTI)

☐ Yes ☒ No

Title: UNSUB(S);
CAPITAL MANAGEMENT SERVICES-VICTIM;
THREATENED USA OF A WMD

Reference: EC
(Communication Enclosing Material)

**Description:** ☐ Original notes re interview of

LRN testing results for biological Threats on both samples

b6
b7C
b7E

Emerging Infections and Biodefense Laboratory
Erie County Regional Public Health Laboratories
Clinical Center Building AA
462 Grider Street
Buffalo, NY 14215
(716) 898-6100

[redacted]

# LABORATORY REPORT
## Results of Environmental Laboratory Examination

Lab Accession Number: 10B000020 Collection Date/Time: 08/30/2010 11:30am
Origin of Specimen: Erie County – 726 Exchange St. Buffalo, NY
Address: 726 Exchange St. Buffalo, NY

Received Date/Time: 08/30/2010 15:51
Submitter: SABT [redacted]
Submitter Address: One FBI Plaza
Submitter City: Buffalo, NY County: Erie State: NY Zip: 14202

LRN CLEARED, OK TO SUBMIT TO FBI LABORATORY.
[redacted] 10/25/11

Submitter's Unique Incident Identifier: Not provided

Specimen Type: Letter/ Envelope, Tube containing powder

b6
b7C

| Test Performed | Analysis Date | Analysis Time | Results of Samples Tested |
|---|---|---|---|
| Multi-agent PCR | 8/31/10 | 10:00am | *Negative for B. anthracis, Y. pestis, Brucella spp., Burkholderia spp., F. tularensis, Orthopox, Ricin by PCR.* |
| Bacterial culture | 8/31 - 9/2/2010 | 11:00am | *No growth on Chocolate agar and Blood Agar.* |
| Ricin toxin TRF | 9/2/2010 | 10:00am | *Negative for Ricin toxin by TRF.* |

Comments: BHI media grew Staphylococcus identified by gram stain.

[redacted]

9/2/2010
Date

The information contained in this disclosure is privileged and confidential intended only for the use of the individual or entity named above. If the reader of this message is NOT the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you receive this communication in error, please notify us immediately by telephone and return the original message to us at the address above.

The NYSDOH Environmental Laboratory Approval Program (ELAP) and the Laboratory Response Network accredit the Erie County Regional Public Health Laboratories. The test results associated with this report meet all of the requirements of the NYSDOH ELAP and federal LRN



LRN

b6
b7C
b7E

Emerging Infections and Biodefense Laboratory
Erie County Regional Public Health Laboratories
503 Kensinton Ave
Buffalo, NY 14214
(716) 898-6100

[redacted]

# LABORATORY REPORT

## Results of Environmental Laboratory Examination

Lab Accession Number: 10B000029 Collection Date/Time: 12/16/10 11:12AM
Origin of Specimen: Capital Management
Address: 726 Exchange St. Buffalo, NY

Received Date/Time: 12/16/2010 2:00 PM
Submitter: SA [redacted]
Submitter Address: One FBI Plaza
Submitter City: Buffalo County: Erie State: NY Zip: 14202

LRN CLEARED
OK TO SUBMIT TO FBI LABORATORY
[redacted]
12/21/11

Submitter's Unique Incident Identifier: Not provided

Specimen Type: Envelope containing powder

| Parameter | Analysis Date | Analysis Time | Results of Samples Tested |
|---|---|---|---|
| Multi-agent PCR | 12/16/2010 | 15:30 | ***Negative for B. anthracis, Y. pestis, Brucella spp., Burkholderia spp., F. tularensis, Orthopox, Ricin by PCR.*** |
| Bacterial culture | 12/17-12/20/2010 | 10:00 | ***No Growth at 90 hours on SBA, CHOC, BHI.*** |
| Ricin toxin TRF | 12/16/2010 | 16:00 | ***Negative for Ricin toxin by TRF.*** |

b6
b7C

Comments:

[redacted]

12/20/2010
Date

The information contained in this disclosure is privileged and confidential intended only for the use of the individual or entity named above. If the reader of this message is NOT the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you receive this communication in error, please notify us immediately by telephone and return the original message to us at the address above.

The NYSDOH Environmental Laboratory Approval Program (ELAP) and the Laboratory Response Network accredit the Erie County Regional Public Health Laboratories. The test results associated with this report meet all of the requirements of the NYSDOH ELAP and federal LRN



LRN LABORATORY RESPONSE NETWORK

b3
b6
b7C
b7E

FD-340 (Rev. 4-1

**File Number**

**Field Office Acquiring Evidence** BF

**Serial # of Originating Document**

**Date Received** 5/16/13

**From**

(Name of Contributor/Interviewee)

(Address)

(City and State)

**By**

To Be Returned ☐ Yes ☐ No

Receipt Given ☐ Yes ☐ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☐ Yes ☐ No

Federal Taxpayer Information (FTI)

☐ Yes ☐ No

b3
b6
b7C
b7E

**Title:**

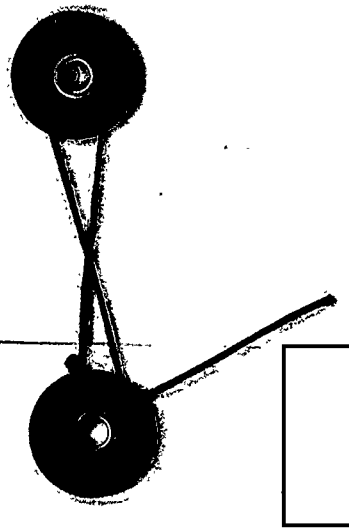
**Reference:**

(Communication Enclosing Material)

**Description:** ☐ Original notes re interview of

Original package copy FD-192 for

b6
b7C



b6
b7C

Title and Character of Case:

CAPITAL MANAGEMENT SERVICES INC
-

Date Property Acquired: 08/30/2010

Source from which Property Acquired: BUFFALO FIRE DEPT [redacted]

Anticipated Disposition: [redacted] Acquired By: [redacted] Case Agent:

Description of Property: [redacted] Date Entered

(1) 1 ENVELOPE WITH LETTER

Barcode: E4762176 Location: ECE [redacted] CAB4 ~~DR1~~ 09/09/2010

Case Number: [redacted]
Owning Office: BUFFALO

b3 b6 b7C b7E

PACKAGE COPY

FD-1004
Revised
9-16-2009

FEDERAL BUREAU OF INVESTIGATION

# EVIDENCE CHAIN-OF-CUSTODY

**Evidence Type:** ☒ General ☐ Drug ☐ Firearm/Weapon
☐ CART ☐ Valuable ☐ Firearm/Other

| Special Handling Instructions | Initial Receipt | Date and Time |
|---|---|---|
| ☐ Batteries ☐ Biohazard ☐ FGJ<br>☐ HAZMAT ☐ Latents ☐ Refrigerate<br>☐ Req. Charging ☐ None<br>☐ Other _____ | Signature: [redacted]<br>Printed Name: [redacted]<br>Reason: Collected | 8/30/2010<br>3:30pm |

b6
b7C

| Relinquished Custody | Date and Time | Accepted Custody | Date and Time |
|---|---|---|---|
| Signature: [redacted]<br>Printed Name: [redacted]<br>Reason: LRN for Lab Analysis | 8/30/2010<br>3:51 pm | Signature: [redacted]<br>Printed Name [redacted]<br>Reason: Picked up from LRN | 09/09/2010<br>3:30pm |
| **Relinquished Custody** | **Date and Time** | **Custody** | **Date and Time** |
| Signature: [redacted]<br>Printed Name [redacted]<br>Reason: Submitted to Evidence Control | 09/09/2010<br>4:30pm | Signat [redacted]<br>Printed Name: [redacted]<br>Reason: STORAGE | 9/9/10<br>4:30 pm |
| **Relinquish** [redacted] | **Date and Time** | **Custody** | **Date and Time** |
| Signatur [redacted]<br>Printed Name [redacted]<br>Reason: TO [redacted] | 11/15/11<br>1:30 pm | Signature [redacted]<br>Printed Name: [redacted]<br>Reason: STORAGE | 4/2/13<br>11:30 AM |
| **Relinquished Custody** | **Date and Time** | **Accepted Custody** | **Date and Time** |
| Signatur [redacted]<br>Printed Name: [redacted]<br>Reason: TO Sft [redacted] | 5/16/13<br>3:45 PM | Signature: [redacted]<br>Printed Name: [redacted]<br>Reason: To be destroyed | 5/16/13<br>3:45pm |
| **Relinquished Custody** | **Date and Time** | **Accepted Custody** | **Date and Time** |
| Signature: [redacted]<br>Printed Name: [redacted]<br>Reason: Destroyed | 5/16/13<br>3:46pm | Signature:<br>Printed Name:<br>Reason: | |

b3
b6
b7C
b7E

**Firearms Certification:**
Printed Name: _____ Signature: _____ Date: _____

Case ID: [redacted] _____ Barcode: _____

# EVIDENCE CHAIN-OF-CUSTODY

**Continuation Page**

| Relinquished Custody | Date and Time | Accepted Custody | Date and Time |
|---|---|---|---|
| Signature: | | Signature: | |
| Printed Name: | | Printed Name: | |
| Reason: | | Reason: | |
| **Relinquished Custody** | **Date and Time** | **Accepted Custody** | **Date and Time** |
| Signature: | | Signature: | |
| Printed Name: | | Printed Name: | |
| Reason: | | Reason: | |
| **Relinquished Custody** | **Date and Time** | **Accepted Custody** | **Date and Time** |
| Signature: | | Signature: | |
| Printed Name: | | Printed Name: | |
| Reason: | | Reason: | |
| **Relinquished Custody** | **Date and Time** | **Accepted Custody** | **Date and Time** |
| Signature: | | Signature: | |
| Printed Name: | | Printed Name: | |
| Reason: | | Reason: | |
| **Relinquished Custody** | **Date and Time** | **Accepted Custody** | **Date and Time** |
| Signature: | | Signature: | |
| Printed Name: | | Printed Name: | |
| Reason: | | Reason: | |
| **Relinquished Custody** | **Date and Time** | **Accepted Custody** | **Date and Time** |
| Signature: | | Signature: | |
| Printed Name: | | Printed Name: | |
| Reason: | | Reason: | |

Case ID: _____ 1B: _____ Barcode: _____

Ref:
Dep:

Date: 15Nov11
Wgt: 2.05 LBS

DV: 0.00

Svcs: STANDARD OVERNIGHT DSR
TRCK: 4565 1387 3244

| | |
|---|---|
| SHIPPING: | 5.13 |
| SPECIAL: | 0.36 |
| HANDLING: | 0.00 |
| TOTAL: | 5.49 |

b3
b6
b7C
b7E

Title and Character of Case:

CAPITAL MANAGEMENT SERVICES INC
-

Date Property Acquired: 01/05/2011

Source from which Property Acquired: [redacted] PROGRAM COORIDINATOR LRN
462 GRIDER ST
BUFFALO NY 14215

Anticipated Disposition:

Acquired By: [redacted]

Case Agent: [redacted]

Description of Property: [redacted]

Date Entered

ZIPLOC BAG CONTAINING OFF WHITE POWDER IN AN ENVELOPE

Barcode: E4827145 Location: EC[redacted] ~~CAB6~~ DR1 06/14/2011

PACKAGE COPY [redacted]

b3
b6
b7C
b7E

Case Number: [redacted]
Owning Office: BUFFALO

FD-1004
Revised
9-16-2009

FEDERAL BUREAU OF INVESTIGATION

# EVIDENCE CHAIN-OF-CUSTODY

**Evidence Type:** ☒ General ☐ Drug ☐ Firearm/Weapon ☐ CART ☐ Valuable ☐ Firearm/Other

| Special Handling Instructions | Initial Receipt | Date and Time |
|---|---|---|
| ☐ Batteries ☐ Biohazard ☐ FGJ<br>☐ HAZMAT ☐ Latents ☐ Refrigerate<br>☐ Req. Charging ☐ None<br>☐ Other | Signature: [redacted]<br>Printed Name: [redacted]<br>Reason: Collected | 01/05/2011<br>0900 |

b6
b7C
b7E

| Relinquished Custody | Date and Time | Accepted Custody | Date and Time |
|---|---|---|---|
| Signature [redacted]<br>Printed Name: [redacted]<br>Reason: To ECR | 06/14/2011<br>1420 | Signature [redacted]<br>Printed Name: [redacted]<br>Reason: STORAGE | 6/14/11<br>2 20 PM |
| Relinquished C [redacted]<br>Signatu [redacted]<br>Printed Name: [redacted]<br>Reason: TO [redacted] | 11/15/11<br>1 30 PM | [redacted]stody<br>Signature [redacted]<br>Printed Name: [redacted]<br>Reason: STORAGE | 4/2/13<br>1130 AM |
| [redacted]d Custody<br>Signatu [redacted]<br>Printed Name: [redacted]<br>Reason: TO SA [redacted] | 5/16/13<br>345 PM | Accepted Custody<br>Signature: [redacted]<br>Printed Name: [redacted]<br>Reason: To be destroyed | 5/16/13<br>3:45 PM |
| Relinquished Custody<br>Signature: [redacted]<br>Printed Name: [redacted]<br>Reason: Destroyed | 5/16/13<br>3:46 PM | Accepted Custody<br>Signature:<br>Printed Name:<br>Reason: | Date and Time |
| Relinquished Custody<br>Signature:<br>Printed Name:<br>Reason: | Date and Time | Accepted Custody<br>Signature:<br>Printed Name:<br>Reason: | Date and Time |

b3
b6
b7C
b7E

**Firearms Certification:**
Printed Name: Signature: Date:

Case ID [redacted] Barcode: 12482714S

[redacted] b6 b7C b7E

**Evidence Details**

[redacted]

**Case:** [redacted] **Item:**

**Description:**
(U) Secondary evidence received from FBI Lab Q1-Q6, [redacted] secondary evidence, nuclear [redacted] secondary evidence, [redacted] secondary evidence.

**Acquired On:** 04/02/2013 11:30 AM EDT
**Eligible for Forfeiture:** No
**Receipt Item #:** *None*
**Special Handling:** Refrigerate
**Hazardous Materials:** *None*

**Late Justification:**
(U) Received lead prior to return of original evidence, directing ECT's to destroy all 1B's. Awaiting direction from the case agent.

## Discovery Location

**Area:** FBI Lab
**Collected By:** [redacted] b6 b7C b7E
**Specific:** Fed Ex
**Others:** *None*

## Anticipated Disposition

*None* on *None*

## Storage Information

**Holding Office:** BUFFALO
**Finalized By:** [redacted]
**Last Inventory:** *None*

**Location:**
E5015225 - ECR4 Fridge

**Barcode #:** E5015391

**Chain of Custody**

**Shipping / Transfer Log**

**History**

**Acquisition Event Details**

FD-1004
Revised
9-16-2009

FEDERAL BUREAU OF INVESTIGATION

# EVIDENCE CHAIN-OF-CUSTODY

**Evidence Type:** ☐ General ☐ Drug ☐ Firearm/Weapon ☐ CART ☐ Valuable ☐ Firearm/Other

| Special Handling Instructions | Receipt | Date and Time |
|---|---|---|
| ☐ Batteries ☐ Biohazard ☐ FGJ<br>☐ HAZMAT ☐ Latents ☐ Refrigerate<br>☐ Req. Charging ☐ None<br>☐ Other | Signa<br>Printed Name:<br>Reason: Collected | 4/2/13<br>11 30 AM |

b6
b7C

| Relinquished Custody | Date and Time | Accepted Custody | Date and Time |
|---|---|---|---|
| Signatu<br>Printed Name:<br>Reason: TO ECR | 4/2/13<br>11 31 AM | Signature<br>Printed Nam<br>Reason: | 4/2/13<br>11 30 AM |

| Relinquished Custody | Date and Time | Accepted Custody | Date and Time |
|---|---|---|---|
| Signa<br>Printed Nam<br>Reason: TO SA | 5/16/13<br>345 PM | Signature<br>Printed Name:<br>Reason: TO be destroyed | 5/16/13<br>3:45pm |

| Relinquished Custody | Date and Time | Accepted Custody | Date and Time |
|---|---|---|---|
| Signature:<br>Printed Name:<br>Reason: Destroyed | 5/16/13<br>3:46pm | Signature:<br>Printed Name:<br>Reason: | |

| Relinquished Custody | Date and Time | Accepted Custody | Date and Time |
|---|---|---|---|
| Signature:<br>Printed Name:<br>Reason: | | Signature:<br>Printed Name:<br>Reason: | |

| Relinquished Custody | Date and Time | Accepted Custody | Date and Time |
|---|---|---|---|
| Signature:<br>Printed Name:<br>Reason: | | Signature:<br>Printed Name:<br>Reason: | |

**Firearms Certification:**
Printed N Signature: Date:

Case ID: Barcode: E5015391

b3
b7E

[redacted] (BF) (FBI) [redacted] b3 b6 b7C b7E

**From:** [redacted] BF) (FBI)
**Sent:** Wednesday, September 22, 2010 2:53 PM
**To:** [redacted] (BF) (FBI)
**Cc:** [redacted] (BF) (FBI)
**Subject:** [redacted] Capital Management Services

Allison,

Here is the opening ec for a White Powder Threat Letter to Capital Management Services

[redacted]

Thanks so much

[redacted]

**Buffalo Div. Rochester RA**

[redacted]

b6 b7C

b3
b6
b7C
b7E

# FEDERAL BUREAU OF INVESTIGATION

**Precedence:** ROUTINE **Date:** 09/20/2010

**To:** WMD Directorate **Attn:** SSA
**Attn:** IA
Buffalo **Attn:** WMDC

**From:** Buffalo
**Contact:** SABT

**Approved By:**

**Drafted By:**

**Case ID #:**

**Title:** UNSUB(s);
CAPITAL MANAGEMENT SERVICES - VICTIM;
THREATENED USE OF A WMD;

**Synopsis:** To assign CPI Code to captioned investigation.

**Details:** On 08/30/2010, Assistant WMD Coordinator, SABT received notification that a threatening letter, which contained an obvious unknown white powder was received by CAPITAL MANAGEMENT SERVICES (CMS), INCORPORATED, 726 Exchange Street, Suite 700, Buffalo, NY 14210. An investigation was subsequently opened on 09/08/2010.

Captioned investigation should be assigned the following CPI Code:

CPI Code: WMD
Description: WEAPONS OF MASS DESTRUCTION

♦♦



b3
b7E

FD-919 (Rev. 06-05-2003)

# FEDERAL BUREAU OF INVESTIGATION

**Precedence:** ROUTINE **Date:** 10/19/2010

**To:** Buffalo

**From:** Buffalo
[redacted]
**Contact:** [redacted]

b3
b6
b7C
b7E

**Approved By:** [redacted]

**Drafted By:** [redacted]

**Case ID #:** [redacted]

**Title:** VICTIM NOTIFICATION FORM

**Synopsis:** White powder threat letter sent to victim.

**Reference:** [redacted]

**Details:**

VnsCase#: [redacted]
CAgtName:
PContact: Business
BusName : Capital Management Services
BusEIN : 000000000
BusAcct :
VicFirN : [redacted]
VicMidN :
VicLastN:
SSAN :
VicDate : 20100830
VicDOD :
VicMinor:
DOB :
Race :
Sex :
Addr :
Addr2 :
City :
State :
Country :
Zip :
Email :
HPhone :
Fax :

b3
b6
b7C
b7E

FILE COPY

[redacted]

[redacted]

b3
b7E

VWrkAddr: 76 Exchange Street
VWrkadd2: Suite 700
VWrkCity: Buffalo
VWrkSt : NY
VWrkCtry: US
VWrkZip : 14210
WEmail :
WPhone :
WFax :
VicPager:
NOKFirN :
NOKMidN :
NOKLastN:
NOKRel :
NOKAddr :
NOKAddr2:
NOKCity :
NOKState:
NOKCtry :
NOKZip :
NOKHEmal:
NOKWEmal:
NOKHPho :
NOKWPho :
NOKHFax :
NOKWFax :
NOKPager:
GrdFirN :
GrdMidN :
GrdLastN:
GrdRel :
GrdAddr :
GrdAddr2:
GrdCity :
GrdState:
GrdCtry :
GrdZip :
GrdHEmal:
GrdWEmal:
GrdHPho :
GrdWPho :
GrdHFax :
GrdWFax :
GrdPager:
PropRet : N
TotLoss : 000000000
Lang. :
Disable :

To: Buffalo From: Buffalo
Re: [redacted] 10/19/2010

b3
b7E

♦♦

(Rev. 05-01-2008)

UNCLASSIFIED

# FEDERAL BUREAU OF INVESTIGATION

**Precedence:** ROUTINE **Date:** 11/24/2010

**To:** Buffalo **Attn:** WMDC

**From:** Buffalo

**Contact:** SABT

**Approved By:**

**Drafted By:**

**Case ID #:**

**Title:** UNSUB(s);
CAPITAL MANAGEMENT SERVICES - VICTIM;
THREATENED USE OF A WMD;

**Synopsis:** To transfer captioned investigation to Buffalo-Headquarter City.

**Details:** On 08/30/2010, Assistant WMD Coordinator, SABT received notification that a threatening letter, which contained an obvious unknown white powder, was received by CAPITAL MANAGEMENT SERVICES (CMS), INCORPORATED, 726 Exchange Street, Suite 700, Buffalo, NY 14210. An investigation was subsequently opened on 09/08/2010.

Due to incident being in the city of Buffalo, this case should be reassigned to WMDC SABT will be available to assist with any investigative efforts deemed appropriate.

♦♦

UNCLASSIFIED

b3
b6
b7C
b7E

FD-542 (Rev. 03-23-2009)

UNCLASSIFIED

# FEDERAL BUREAU OF INVESTIGATION

**Precedence:** ROUTINE **Date:** 12/22/2010

**To:** WMD Directorate **Attn:** [redacted]
SSA [redacted]
IA [redacted]

Buffalo

**From:** Buffalo
[redacted]
**Contact:** SA [redacted]

**Approved By:** [redacted]

**Drafted By:** [redacted]

**Case ID #:** [redacted]

FILE COPY

**Title:** UNSUB(S);
CAPITAL MANAGEMENT SERVICES-VICTIM;
THREATENED USE OF A WMD

**Synopsis:** Document and claim statistical accomplishments for a response to second white powder letter received by Capital Management Services.

**Details:** On 12/16/2010, WMDC SA [redacted] received a telephone call from [redacted] Buffalo Fire Department HazMat, regarding a white powder letter they responded to. An envelope containing white powder wrapped in a plastic sandwich bag was received by Capital Management Services, 726 Exchange St, Suite 700, Buffalo, NY 14210. The Buffalo FD HazMat team responded and accepted custody of the letter. The letter was transported to the HazMat teams headquarters at 609 Broadway St, Buffalo, NY. Field Screening of the powder was conducted. A MultiRAE was used to rule out any explosive or chemical hazard. Radiological hazards were ruled out using a Ludlum. A check using FTIR gave a high confidence the powder was flour.

SA [redacted] contacted the LRN to advise of the possibility of a sample being delivered. SA [redacted] then responded to Buffalo FD HazMat headquarters to retrieve the envelope and powder. Both were photographed and then bagged and placed into a hard plastic carrying case. SA [redacted] was provided with the

b3
b6
b7C
b7E

b3
b6
b7C
b7E

UNCLASSIFIED

[redacted]

[redacted]

To: WMD Directorate From: Buffalo
Re: [redacted], 12/22/2010

b3
b6
b7C
b7E

business card of [redacted] Capital Management Services.

A Threat Credibility Evaluation (TCE) conference call was made with WMDOU and other headquarters units. All units agreed that the envelope and powder should be submitted to the LRN for a full biological threat panel (PCR, culture, and TRF). After the TCE, the incident was reported to [redacted] It was agreed that a new Guardian entry would not be generated due to a case on Capital Management Services currently pending.

SA [redacted] delivered the packaged envelope and powder to Erie County Public Health Laboratory (LRN) with a submission form. [redacted] accepted custody of the sample.

The sample was given laboratory accession number 10B000029. The results of the biological threat panel are as follows:

LRN Multi-Agent PCR (*B.anthracis, Y.pestis, F.tularensis, Brucella spp., Burkholderia spp.*, Orthopox, Ricin): Negative
Ricin TRF: Negative
Bacterial Culture Results: No growth after 90hrs on SBA, CHOC, BHI.

The letter will be retrieved from the LRN and placed into evidence.

To: WMD Directorate From: Buffalo
Re: [redacted], 12/22/2010

b3
b6
b7C
b7D
b7E

**Accomplishment Information:**

Number: 1
Type: [redacted]
ITU:
Claimed By:
SSN:
Name:
Squad

Number: 1
Type:
ITU:
Claimed By:
SSN:
Name:
Squad

Number: 1
Type:
ITU:
Claimed By:
SSN:
Name:
Squad

To: WMD Directorate From: Buffalo
Re: [redacted], 12/22/2010

b3
b7E

**LEAD(s):**

**Set Lead 1: (Info)**

WMD DIRECTORATE

AT WASHINGTON, DC

For information.

♦♦

(Rev. 05-01-2008)

UNCLASSIFIED

# FEDERAL BUREAU OF INVESTIGATION

**Precedence:** ROUTINE **Date:** 05/17/2011

**To:** Buffalo **Attn:** Evidence Control Center

**From:** Buffalo

**Contact:** SA [redacted]

b3
b6
b7C
b7E

**Approved By:** [redacted]

**Drafted By:** [redacted]

**Case ID #:** [redacted]

**Title:** UNSUB(s);
CAPITAL MANAGEMENT SERVICES-VICTIM;
THREATENED USE OF A WMD

**Synopsis:** To document late submission of evidence to Buffalo ECC.

**Full Investigation Initiated:** 09/08/2010

**Details:** An envelope and a Ziploc bag containing an off white powder were recovered from Capital Management Services and submitted to the LRN for analysis. After the analysis was completed, the envelope was picked up from the LRN and secured in FBI space. The time required for the LRN to complete the biohazard assays exceeded the 10-day deadline for submission to the ECC.

♦♦

FILE COPY

UNCLASSIFIED

[redacted]

b3
b7E

(Rev. 05-01-2008)

UNCLASSIFIED

# FEDERAL BUREAU OF INVESTIGATION

**Precedence:** ROUTINE **Date:** 05/06/2011

**To:** Buffalo **Attn:** Evidence Control Center

**From:** Buffalo

**Contact:** SA

**Approved By:**

**Drafted By:**

**Case ID #:**

b3
b6
b7C
b7E

**Title:** UNSUB(s);
CAPITAL MANAGEMENT SERVICES-VICTIM;
THREATENED USE OF A WMD

**Synopsis:** To file LRN sample submission and return documents.

**Enclosures:** One (1) LRN Biothreat tracking form, one (1) receipt for property released, one (1) receipt for property received.

**Details:** A copy of the LRN Biothreat tracking form, receipt for property released, and receipt for property received from are attached for inclusion in the case file.

♦♦

UNCLASSIFIED

FILE COPY

b3
b7E

New York State Department of Health
Wadsworth Center
Biodefense Laboratory
120 New Scotland Avenue
Albany, NY 12208 Phone (518) 474-4177

# Laboratory Response Network Biothreat Tracking Form

**Specimen Information**

**Incident ID#** _____ **Collection Date/Time** _____
**Investigating agency and contact information** _____
**Collection County** _____
**Collection Site (address)** _____
**Targeted Individual's name (if any)** _____ b6 b7C
**Specimen Description** _____

**Collection Site Information**

| | | | |
|---|---|---|---|
| Building evacuated | no ☒ | yes ☐ | details _____ |
| Ventilation system shut down | no ☒ | yes ☐ | details _____ |
| Site/building locked-down | no ☒ | yes ☐ | details _____ |
| Media on-site | no ☒ | yes ☐ | details _____ |
| Medical response initiated | no ☒ | yes ☐ | details _____ |

**Credible Biohazard Assessment Criteria**

| | | | |
|---|---|---|---|
| Stated or implied Threat | no ☒ | yes ☐ | describe _____ |
| Visible, testable Material | no ☐ | yes ☒ | describe _____ |
| Uncertain or suspicious Origin | no ☐ | yes ☒ | describe _____ |
| Exposure or illness: | | | |
| Targeted individual | no ☒ | yes ☐ | illness ☐ _____ |
| First responders | no ☒ | yes ☐ | illness ☐ _____ |
| Sample collectors | no ☐ | yes ☐ | illness ☐ _____ |

**Credible Biohazard Assessment performed by** _____
**Field Hazard Screens performed by** _____ b6 b7C
**Explosive Device** negative ☒ Instrument used _____
**Chemical Hazard** negative ☒ Instrument used _____
**Rad/Nuc Hazard** negative ☒ Instrument used _____

***ALL samples must be NEGATIVE by ALL Screens to be accepted at testing laboratory***

**Sample Collected by** _____
**Sample Container Decontaminated by** _____
☐ **notified (by whom)** _____ **date/time** _____
**NYSPIN BIO1 submitted (by whom)** _____ **date/time** _____

**Submitter Information**

**Name** _____
**Phone** _____ After hours _____ Message OK? yes ☒ no ☐

**Report Results To (if different than Submitter)**

**Name** _____
**Phone** (___) ___-_____ After hours (___) ___-_____ Message OK? yes ☐ no ☐

Incomplete information reporting on this tracking form or a failure to conduct hazard screening procedures as outlined will result in this sample being refused at the laboratory and/or returned to the submitting agency.

*White and Yellow copies to lab* *Pink copy to law enforcement* *Gold copy to local health department*

DOH 4348 (04/05)

FD-597 (Rev 8-11-94) Page 1 of 1

**UNITED STATES DEPARTMENT OF JUSTICE**
**FEDERAL BUREAU OF INVESTIGATION**
**Receipt for Property Received/Returned/Released/Seized**

File # _____

On (date) 12/16/2010 item(s) listed below were:

- ☐ Received From
- ☐ Returned To
- ☒ Released To
- ☐ Seized

(Name) [redacted] - ECPHL b6 b7C

(Street Address) _____

(City) _____

Description of Item(s): One Envelope containing one plastic sandwich bag which contains an off white powder.

Received By: [redacted] Received From: [redacted] b6 b7C

FD-597 (Rev 8-11-94) Page 1 of 1

**UNITED STATES DEPARTMENT OF JUSTICE**
**FEDERAL BUREAU OF INVESTIGATION**
**Receipt for Property Received/Returned/Released/Seized**

**File #** _____

On (date) 01/05/2010 [redacted] item(s) listed below were: b6 b7C

- [x] Received From
- [ ] Returned To
- [ ] Released To
- [ ] Seized

(Name) [redacted]

(Street Address) ECPHL, 503 Kensington Ave., Buffalo, NY

(City) _____

Description of Item(s): One envelope containing a plastic sandwich bag containing white powder.

[redacted] b6 b7C

**Received By:** [redacted] **Received From:** [redacted]

(Rev. 05-01-2008)

UNCLASSIFIED

# FEDERAL BUREAU OF INVESTIGATION

**Precedence:** ROUTINE **Date:** 05/06/2011

**To:** Buffalo **Attn:** Evidence Control Center

**From:** Buffalo
JTTF
**Contact:** SA [redacted] b3 b6 b7C b7E

**Approved By:** [redacted]

**Drafted By:** [redacted]

**Case ID #:** [redacted]

**Title:** UNSUB(s);
CAPITAL MANAGEMENT SERVICES-VICTIM;
THREATENED USE OF A WMD

**Synopsis:** To document LRN biothreat testing results.

[redacted]

**Enclosures:** One (1) LRN Laboratory Report.

**Details:** The envelope and white powder contained in the Ziploc bag were tested with the full bio threat panel. The results are below:

Multi-agent PCR, Analysis Date 12/16/2010, Analysis Time 15:30, negative for B. anthracis, Y. pestis, Brucella spp., Burkholderia spp., F. tularensis, Orthopox, Ricin. b3 b6 b7C b7E

Bacterial culture, Analysis Date 12/17/2010 to 12/20/2010, Analysis Time 10:00, no growth at 90 hours on SBA, CHOC.

Ricin toxin TRF, Analysis Date 12/16/2010, Analysis Time 16:00, negative for Ricin toxin.

FTIR gave high confidence for baking flour.

♦♦

FILE COPY

UNCLASSIFIED

[redacted]

[redacted]

b6
b7C
b7E

**From:**
**Sent:** Tuesday, December 21, 2010 11:26 AM
**To:**
**Subject:** re: specimen from Capital Mngt./726 Exchange St.

Once we cleared the specimen for biological agents we ran it through our FT-IR system this morning. Obtained a high-confidence result for baking flour.

Erie County | Health
503 Kensington Ave. | Buffalo, NY 14214

**Erie County's vision: Erie County will be a world-class community where People want to live, Businesses want to locate and Tourists want to visit.**

(Rev. 05-01-2008)

UNCLASSIFIED

# FEDERAL BUREAU OF INVESTIGATION

**Precedence:** ROUTINE **Date:** 10/26/2011

**To:** Buffalo

**From:** Buffalo
JTTF
**Contact:** SA [redacted] b3 b6 b7C b7E

**Approved By:** [redacted]

**Drafted By:** [redacted]

**Case ID #:** [redacted]

**Title:** UNSUB(s);
CAPITAL MANAGEMENT SERVICES-VICTIM;
THREATENED USE OF A WMD

[redacted]

**Synopsis:** To file LRN sample submission and return documents and document LRN biothreat testing results.

[redacted]

**Enclosures:** One (1) LRN Biothreat tracking form, and one (1) LRN Laboratory Report.

**Details:** A copy of the LRN Biothreat tracking form and the LRN Laboratory Report from the first suspicious envelope received by Capital Management Services are attached for inclusion in the file.

The envelope, letter, and powder in the tube were tested with the full bio threat panel. The results are below:

Multi-agent PCR, Analysis Date 08/31/2010, Analysis Time 1000, negative for B. anthracis, Y. pestis, Brucella spp., Burkholderia spp., F. tularensis, Orthopox, Ricin. b3 b6 b7C b7E

Bacterial culture, Analysis Date 08/31/2010 to 09/02/2010, Analysis Time 1100, no growth on chocolate agar and blood agar.

Ricin toxin TRF, Analysis Date 09/02/2010, Analysis Time 1000, negative for Ricin toxin.

FILE COPY

♦♦

UNCLASSIFIED

[redacted]

[redacted]

10B000020

New York State Department of Health
Wadsworth Center
Biodefense Laboratory
120 New Scotland Avenue
Albany, NY 12208 Phone (518) 474-4177

# Laboratory Response Network Biothreat Tracking Form

## Specimen Information

**Incident ID#** _____ **Collection Date/Time** 8/30/2010 – 11:30 AM
**Investigating agency and contact information** FBI - SABT [redacted] b6 b7C
**Collection County** ERIE COUNTY
**Collection Site (address)** 726 Exchange St. Buffalo NY
**Targeted Individual's name (if any)** NA
**Specimen Description** White Powder - letter

## Collection Site Information

| | | | |
|---|---|---|---|
| Building evacuated | no ☒ | yes ☐ | details _____ |
| Ventilation system shut down | no ☒ | yes ☐ | details _____ |
| Site/building locked-down | no ☒ | yes ☐ | details _____ |
| Media on-site | no ☒ | yes ☐ | details _____ |
| Medical response initiated | no ☒ | yes ☐ | details _____ |

## Credible Biohazard Assessment Criteria

| | | | |
|---|---|---|---|
| Stated or implied Threat | no ☐ | yes ☒ | describe "Fuck You Capital Mgmt" |
| Visible, testable Material | no ☐ | yes ☒ | describe White Powder |
| Uncertain or suspicious Origin | no ☐ | yes ☒ | describe " |
| Exposure or illness: | | | |
| Targeted individual | no ☒ | yes ☐ | illness ☐ _____ |
| First responders | no ☒ | yes ☐ | illness ☐ _____ |
| Sample collectors | no ☒ | yes ☐ | illness ☐ _____ |

**Credible Biohazard Assessment performed by** _____
**Field Hazard Screens performed by** _____

| | | |
|---|---|---|
| **Explosive Device** | negative ☒ | Instrument used [redacted] b6 b7C b7E |
| **Chemical Hazard** | negative ☒ | Instrument used |
| **Rad/Nuc Hazard** | negative ☒ | Instrument used |

***ALL samples must be NEGATIVE by ALL Screens to be accepted at testing laboratory***

**Sample Collected by** Captain [redacted]
**Sample Container Decontaminated by** [redacted]
**notified (by whom** [redacted] **date/time** 2:57pm 8/30/[redacted]
**NYSPIN BIO1 submitted (by whom)** _____ **date/time** _____

## Submitter Information

**Name** SABT [redacted]
**Phone** [redacted] After hours [redacted] Message OK? yes ☒ no ☐

## Report Results To (if different than Submitter)

**Name** SABT [redacted] – SAME
**Phone** ( ) - After hours ( ) - Message OK? yes ☐ no ☐

Incomplete information reporting on this tracking form or a failure to conduct hazard screening procedures as outlined will result in this sample being refused at the laboratory and/or returned to the submitting agency.

*White and Yellow copies to lab* *Pink copy to law enforcement* *Gold copy to local health department*

DOH 4348 (04/05)

Rec'd 8/30/10 [redacted] b6

Emerging Infections and Biodefense Laboratory
Erie County Regional Public Health Laboratories
Clinical Center Building AA
462 Grider Street
Buffalo, NY 14215

b6
b7C
b7E

# LABORATORY REPORT

## Results of Environmental Laboratory Examination

Lab Accession Number: 10B000020 Collection Date/Time:
Origin of Specimen: Erie County – 726 Exchange St. Buffalo, NY
Address: 726 Exchange St. Buffalo, NY

Received Date/Time: 08/30/2010 15:51
Submitter: SABT
Submitter Address: One FBI Plaza
Submitter City: Buffalo, NY County: Erie State: NY Zip: 14202

Submitter's Unique Incident Identifier: Not provided

Specimen Type: Letter/ Envelope, Tube containing powder

| Parameter | Analysis Date | Analysis Time | Results of Samples Tested |
|---|---|---|---|
| Multi-agent PCR | 8/31/10 | 10:00am | *Negative for B. anthracis, Y. pestis, Brucella spp., Burkholderia spp., F. tularensis, Orthopox, Ricin by PCR.* |
| Bacterial culture | 8/31 - 9/2/2010 | 11:00am | *No growth on Chocolate agar and Blood Agar.* |
| Ricin toxin TRF | 9/2/2010 | 10:00am | *Negative for Ricin toxin by TRF.* |

b6
b7C
b7E

Comments: BHI media grew Staphylococcus identified by gram stain.

9/2/2010
Date

The information contained in this disclosure is privileged and confidential intended only for the use of the individual or entity named above. If the reader of this message is NOT the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you receive this communication in error, please notify us immediately by telephone and return the original message to us at the address above.

The NYSDOH Environmental Laboratory Approval Program (ELAP) and the Laboratory Response Network accredit the Erie County Regional Public Health Laboratories. The test results associated with this report meet all of the requirements of the NYSDOH ELAP and federal LRN



LRN LABORATORY RESPONSE NETWORK

(Rev. 05-01-2008)

UNCLASSIFIED

# FEDERAL BUREAU OF INVESTIGATION

**Precedence:** ROUTINE **Date:** 10/27/2011

**To:** Buffalo

**From:** Buffalo
JTTF
**Contact:** SA [redacted] b3 b6 b7C b7E

**Approved By:** [redacted]

**Drafted By:** [redacted]

**Case ID #:** [redacted]

**Title:** UNSUB(s);
CAPITAL MANAGEMENT SERVICES-VICTIM;
THREATENED USE OF A WMD

**Synopsis:** To document [redacted] authorization to submit evidentiary samples to the FBI Laboratory for testing. b6 b7C b7E

[redacted]

**Enclosures:** One (1) FBI Facsimile Cover Sheet, two (2) LRN biothreat testing results with [redacted] review.

**Details:** A copy of the facsimile cover sheet with the approval to submit evidentiary samples to the FBI Laboratory for analysis and copies of the LRN biothreat testing results with review notes are included. The approval was granted by [redacted]

[redacted]

♦♦

UNCLASSIFIED

FILE COPY

[redacted] b3 b7E

OCT-25-2011 07:41 [redacted] [redacted] b6 b7C b7E

FD-448 (Rev. 6-2-97)

# FBI FACSIMILE COVER SHEET

[redacted]

**PRECEDENCE**

- ☐ Immediate
- ☐ Priority
- ☒ Routine

**CLASSIFICATION**

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted:

Sender's Initials: [redacted]

Number of pages: 3 (including cover sheet)

To: FBI BUFFALO Date: 10/25/11
Name of Office

Facsimile Number: [redacted]

Attn: SA [redacted] b6 b7C b7E
Name Room Telephone

From: [redacted]
Name of Office

Subject: APPROVAL TO SUBMIT EVIDENCE TO FBI LABORATORY FOR ANALYSIS

Special Handling Instructions:

Originator's Name: [redacted] Telephone: [redacted]

Originator's Facsimile Number: [redacted]

Approved:

Brief Description of Communication Faxed:

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or local FBI Office immediately to arrange for proper disposition.

(Rev. 05-01-2008)

# FEDERAL BUREAU OF INVESTIGATION

**Precedence:** ROUTINE

**Date:** 11/07/2011

**To:** Laboratory
Buffalo

**Attn:** Evidence Control Center
**Attn:** [redacted] ECT
**Attn:** [redacted]

b3
b6
b7C
b7E

**From:** Buffalo
[redacted]
**Contact:** SA [redacted]

**Approved By:** [redacted]

**Drafted By:** [redacted]

**Case ID #:** [redacted]

**Title:** UNSUB(s);
CAPITAL MANAGEMENT SERVICES-VICTIM;
THREATENED USE OF A WMD

**Synopsis:** To request laboratory examination.

[redacted]

**Package Copy:** Being forwarded under separate cover:

From [redacted]

Barcode [redacted]

1. Envelope
2. Letter
3. Powder

b3
b6
b7C
b7E

From [redacted]

Barcode [redacted]

1. Envelope
2. Powder

**Enclosure(s):** Enclosed for the Laboratory Division is one FD-340 (1A envelope) containing copies of test results for the submitted evidence. Those tests were conducted by an approved National Laboratory Response Network (NLRN) facility, located in Buffalo, NY and were negative for *Bacillus anthracis*, *Yersinia pestis*, *Brucella spp.*, *Burkholderia spp.*, *Francisella tularensis*, *Orthopox*, and *Ricin*. Those copies bear the concurrence of [redacted] that the evidence can be safely submitted to the Laboratory Division.

FILE COPY

[redacted]

To: Laboratory From: Buffalo
Re: [redacted] 11/07/2011

b3
b6
b7C
b7E

**Details:** For information of the Laboratory Division, FBI Buffalo opened an investigation on the unknown sender(s) of threatening letters to Capital Management Services in Buffalo, NY.

On 08/30/2010, FBI Buffalo was notified that a threatening letter was received by Capital Management Services. Field screening of the letter was conducted by the Buffalo Fire Department HazMat Team and the letter was transported by the FBI to the LRN for biological hazard testing. On 12/16/2010, FBI Buffalo was notified of an addition threatening letter that was received at Capital Management Services. This letter was screened by Buffalo Fire Department HazMat Team and the letter was transported by the FBI to the LRN for biological hazard testing.

Final reports were received from the LRN laboratory Buffalo, NY reflecting the results of tests conducted on the two envelopes and powder received by Capital Management Services. The samples tested negative for the presence of the biological agents Bacillus anthracis, Yersinia pestis, Brucella spp., Burkholderia spp., Francisella tularensis, Orthopox and Ricin.

On 10/25/2011, [redacted] Microbiologist, of the FBI [redacted] reviewed the LRN Laboratory negative test results and approved the associated evidence for shipment to the Laboratory Division.

The Laboratory Division, Chemistry Unit is requested to examine the white powder, associated with the envelope and threat letter to identify, insofar as possible, the substance, chemical identity or characteristics of that powder.

The Laboratory Division, Trace Evidence Unit, is requested to examine the enclosed envelope and threat letter for hair and fiber evidence.

The Laboratory Division, Questioned Documents Unit, is requested to examine the enclosed envelope and threat letter for handwriting, indented writing, watermarks, typewriting and other logical analysis.

The Laboratory Division, Latent Print Unit, is requested to examine the enclosed envelope and threat letter for latent prints of value. It is requested that one-to-one photographs of developed latent prints be taken and preserved.

The Laboratory Division, DNA Analysis Unit, is requested to examine the enclosed envelope and/or threat letter for DNA evidence. It appears that the adhesive on the envelope flap has

b3
b7E

been moisture activated. Should the envelope flap warrant examination, the DNA Analysis Unit is requested to conduct DNA analysis on the envelope flap and ascertain whether the amount and/or quality of DNA is of value for comparison purposes. If a DNA profile is obtained, the DNA Analysis Unit is requested to compare that profile to those currently archived in CODIS and NDIS. If a match is not found, Buffalo Division will likely seek to legally obtain a DNA sample from any suspects for comparison purposes.

b3
b7E

**LEAD(s):**

**Set Lead 1: (Action)**

LABORATORY-CHEMISTRY UNIT

AT QUANTICO, VA

The Laboratory Division, Chemistry Unit is requested to examine the white powder, associated with the envelope and threat letter to identify, insofar as possible, the substance, chemical identity or characteristics of that powder.

**Set Lead 2: (Action)**

LABORATORY- TRACE EVIDENCE UNIT

AT QUANTICO, VA

The Laboratory Division, Trace Evidence Unit, is requested to examine the enclosed envelope and threat letter for hair and fiber evidence.

**Set Lead 3: (Action)**

LABORATORY- QUESTIONED DOCUMENTS UNIT

AT QUANTICO, VA

The Laboratory Division, Questioned Documents Unit, is requested to examine the enclosed envelope and threat letter for handwriting, indented writing, watermarks, typewriting and other logical analysis.

**Set Lead 4: (Action)**

LABORATORY- LATENT PRINT UNIT

AT QUANTICO, VA

The Laboratory Division, Latent Print Unit, is requested to examine the enclosed envelope and threat letter for latent prints of value. It is requested that one-to-one photographs of developed latent prints be taken and preserved.

To: Laboratory From: Buffalo
Re: [redacted] 11/07/2011

b3
b7E

**Set Lead 5: (Action)**

LABORATORY- DNA ANALYSIS UNIT

AT QUANTICO, VA

The Laboratory Division, DNA Analysis Unit, is requested to examine the enclosed envelope and/or threat letter for DNA evidence. It appears that the adhesive on the envelope flap has been moisture activated. Should the envelope flap warrant examination, the DNA Analysis Unit is requested to conduct DNA analysis on the envelope flap and ascertain whether the amount and/or quality of DNA is of value for comparison purposes. If a DNA profile is obtained, the DNA Analysis Unit is requested to compare that profile to those currently archived in CODIS and NDIS.

♦♦

[redacted]

FD-5 (Rev. 7-10-06)

b3
b6
b7C
b7E

UNCLASSIFIED

FBI Laboratory

2501 Investigation Parkway
Quantico, Virginia 22135

To: Buffalo
[redacted]
SA [redacted]

Date: January 5, 2012

Case ID No.: [redacted]

Lab No.: 111116014 NY

Reference: Communication dated November 7, 2011

Your No.:

Title: UNSUB(s);
CAPITAL MANAGEMENT SERVICES-VICTIM;
THREATENED USE OF A WMD

Date specimens received: November 16, 2011

The FBI Laboratory has received your request for examination. The accompanying items of evidence have been inventoried. The provided listing and description of the submitted items may be subject to change when the examination phase begins. If changes are made, they will be reflected in the Report of Examination issued by the examiner making the change.

Specimens:

b3
b6
b7C
b7E

Q1 Envelope addressed to Capital Management Services [redacted] E4762176)

Q2 Enclosure [redacted] E4762176)

Q3 Unknown substance [redacted] E4762176)

Q4 Envelope addressed to Capital Management Services [redacted] E4827145)

Q5 Plastic bag [redacted] E4827145)

Q6 Unknown substance [redacted] E4827145)

NE1 Page bearing notations beginning "726 Exchange 12-16-10..." [redacted] E4827145)

[redacted]

UNCLASSIFIED

FILE COPY

[redacted]

b6
b7C
b7E

Each examiner assigned to your request will issue a separate Report of Examination that will address the results of his/her expertise. For technical questions, contact the following unit(s) assigned to your case: Chemistry Unit - [redacted] Nuclear DNA Unit - [redacted] Latent Print Operations Unit - [redacted] Questioned Documents Unit - [redacted] Trace Evidence Unit - [redacted]

If there is a change in the status of your investigation that would have an affect on the prioritization of your request, such as court deadlines, dismissal of charges, or guilty pleas; or if you have questions regarding the status of your case, contact Request Coordinator [redacted]

b6
b7C

FBI Laboratory

2501 Investigation Parkway
Quantico, Virginia 22135

# REPORT OF EXAMINATION

To: Buffalo
[redacted]
SA [redacted]

Date: January 17, 2012

Case ID No. [redacted]

Lab No.: 111116014 NY [redacted]

Reference: Communication dated November 07, 2011

Your No.:

Title: UNSUB(s);
CAPITAL MANAGEMENT SERVICES-VICTIM;
THREATENED USE OF A WMD

Date specimens received: November 16, 2011

The items listed below were received in the Chemistry Unit:

b3
b6
b7C
b7E

Q1 Envelope addressed to Capital Management Services [redacted] E4762176)

Q2 Enclosure [redacted] E4762176)

Q3 Unknown substance [redacted] E4762176)

Q4 Envelope addressed to Capital Management Services [redacted] E4827145)

Q5 Plastic bag [redacted] E4827145)

Q6 Unknown substance [redacted] E4827145)

NE1 Page bearing notations beginning "726 Exchange 12-16-10..." [redacted] E4827145)

This report contains the results of the chemical examinations.





FILE COPY

[redacted]

**Results of Examinations:**

The following table summarizes the findings of the submitted solid materials:

| Specimen | Observation/Description | Results |
|---|---|---|
| Q3, Q6 | White solid powder | Consistent with carbohydrate material (similar to flour) |

b6
b7C

The following techniques were used in the analysis of specimens Q3 and Q6: visual inspection, stereomicroscopy, digital microscopy with polarized filtering, and Fourier-transform infrared spectrophotometry.

**Interpretations/Limitations:**

The terminology "consistent with" does not imply an identification of a specific chemical or product. A substance is termed "consistent with" when the analytical data does not support an identification of a specific chemical or product, but does provide reliable information to include the substance within a class of materials. The phrase "consistent with" is also used when an appropriate reference standard could not be obtained.

b6
b7C

**Remarks:**

Specimens Q1, Q2, Q4, and Q5 were not analyzed in the Chemistry Unit.

The items listed above will be returned under separate cover of communication.

The supporting records for the opinions and interpretations expressed in this report are retained in the FBI files.

For questions about the content of this report, please contact Examiner

For questions about the status of your submission, including any remaining forensic examinations, please contact

Chemistry Unit

This report contains the opinions/interpretations of the examiner(s) who issued the report.



111116014 NY

b6
b7C

b3
b6
b7C
b7E

FBI Laboratory

2501 Investigation Parkway
Quantico, Virginia 22135

# REPORT OF EXAMINATION

To: Buffalo
[redacted]
SA [redacted]

Date: August 15, 2012

Case ID No.: [redacted]

Lab No.: 111116014 NY [redacted]

Reference: Communication dated November 7, 2011

Your No.:

Title: UNSUB(s);
CAPITAL MANAGEMENT SERVICES-VICTIM;
THREATENED USE OF A WMD

Date specimens received: November 16, 2011

The following specimens were received in the Trace Evidence Unit (Hairs and Fibers):

| | | |
|---|---|---|
| Q1 | Envelope addressed to Capital Management Services [redacted] E4762176) | b3 b7E |
| Q1.1 | Stamp removed from Q1 [redacted] E4762176) | |
| Q2 | Enclosure [redacted] E4762176) | |
| Q4 | Envelope addressed to Capital Management Services [redacted] E4827145) | |
| Q4.1 | Stamp removed from Q4 [redacted] E4827145) | |
| Q4.2 | Return address label removed from Q4 [redacted] E4827145) | |
| Q4.3-Q4.4 | Tape removed from Q4 [redacted] E4827145) | |
| Q5 | Plastic bag [redacted] E4827145) | |
| Q5.1-Q5.2 | Tape removed from Q5 [redacted] E4827145) | |

NE1 Page bearing notations beginning "726 Exchange 12-16-10..." [redacted] E4827145)

b3
b6
b7C
b7E

The results of the trace evidence examinations (hairs and fibers) are included in this report.

**Methods:**

Microscopic examination of hairs is accomplished by using stereomicroscopy and comparison microscopy. The presence or absence, appearance, arrangement and distribution of the characteristics within the cuticle, cortex, and medulla of the hairs are examined and may be compared during a hair examination.

Microscopic examination of fibers is accomplished by using one or more analytical techniques including stereomicroscopy, comparison microscopy, polarized light microscopy, fluorescence microscopy, and instrumentally using microspectrophotometry and Fourier transform-infrared spectroscopy. The microscopic characteristics and optical properties determined by these techniques are used for the examination and comparison of fibers.

**Results of examinations:**

Specimens Q1 through Q2 and Q4 through Q5.2 were examined for hairs and fibers adhering to any unexposed surfaces of adhesive material.

Natural textile fibers of various colors were found under specimens Q1.1, Q5.1 and Q5.2. These fibers have been preserved for possible future comparison purposes. No other fibers were found on the unexposed surfaces of the submitted specimens.

No hairs were found on the unexposed surfaces of the submitted specimens.

The specimens were examined visually using stereomicroscopy and comparison microscopy.

b6
b7C

**Interpretation:**

Fibers can differ as to type (e.g., rayon, cotton), color, shape, size, microscopic features (e.g. presence of delustering agents, voids) and optical properties (e.g., refractive index, sign of elongation). These are characteristics that may associate fibers with a group of items, but never to a single item to the exclusion of all others. However, even fibers with many similar properties may be excluded as originating from the same source by using the identified analytical methods.

The characteristics present in the fibers are used as comparison criteria. When all of the characteristics present in a recovered fiber are the same as in a potential source, the possibility that the compared fibers originated from the same source cannot be excluded. Consequently, the recovered fibers are consistent with originating from the known source, or from another source comprised of fibers that exhibit the same microscopic characteristics and optical properties.

The inability to associate specimens through a microscopic hair/fiber examination does not preclude that the persons/items of interest had contact with each other. A number of factors can produce this result, including: 1) Hair/fiber evidence may not have transferred. 2) Hairs/fibers that did transfer may have been lost prior to submission to the laboratory. 3) The hairs/fibers transferred or the known comparison specimen submitted may not be representative of the source. 4) The hairs/fibers may be from a different source.

**Remarks:**

The supporting records for the opinions and interpretations expressed in this report are retained in the FBI files.

For questions about the content of this report, please contact Forensic Examiner [redacted]

b6
b7C
b7E

For questions about the status of your submission, including any remaining forensic examinations, please contact Request [redacted]

The specimens will be returned under separate cover upon completion of the laboratory examinations.

[redacted]
Trace Evidence Unit

This report contains the opinions/interpretations of the examiner(s) who issued the report.

b3
b6
b7C
b7E

FBI Laboratory

2501 Investigation Parkway
Quantico, Virginia 22135

# REPORT OF EXAMINATION

To: Buffalo
SA [redacted]

Date: August 28, 2012

Case ID No.: [redacted]

Lab No.: 111116014 NY [redacted]

Reference: Communication dated November 7, 2011

Your No.:

Title: UNSUB(s);
CAPITAL MANAGEMENT SERVICES-VICTIM;
THREATENED USE OF A WMD

Date specimens received: November 16, 2011

**The specimens listed below were examined in the Nuclear DNA Unit:**

| | | |
|---|---|---|
| Q1 | Envelope addressed to Capital Management Services [redacted] | E4762176) |
| Q4 | Envelope addressed to Capital Management Services [redacted] | E4827145) |

b3
b6
b7C
b7E

This report contains the results of the nuclear DNA analyses.

**Results of Nuclear DNA Examinations:**

Specimens Q1 and Q4 (swabbings from envelopes) were subjected to deoxyribonucleic acid (DNA) typing by the polymerase chain reaction (PCR). The AmpFℓSTR® Profiler Plus™ *ID* and COfiler™ Amplification Kits were used selectively for this analysis.[1]

Based on the typing results from the amelogenin locus (for sex determination), male DNA is present in the DNA obtained from specimen Q1. No amelogenin typing results were obtained from specimen Q4.

The DNA typing results from specimen Q1 are consistent with having arisen from a single male individual and are suitable for comparison purposes.

No STR typing results[2] were obtained from specimen Q4.

The DNA typing results for specimen Q1 will be entered into the Combined DNA Index System (CODIS) and maintained by the FBI Laboratory for future comparisons. If future comparisons are requested, a known blood or buccal (saliva) sample from the suspect should be submitted.

No other nuclear DNA examinations were conducted.

**Methods/Limitations:**

The following methods and limitations apply to the results/conclusions provided in the results section(s) of this report and are referenced by number in the body of the text for clarity.

1. The AmpFℓSTR® Profiler Plus™ *ID* PCR Amplification Kit includes the amelogenin locus and the STR loci D3S1358, vWA, FGA, D8S1179, D21S11, D18S51, D5S818, D13S317, and D7S820. The AmpFℓSTR® COfiler™ PCR Amplification Kit includes the amelogenin locus and the STR loci D3S1358, D16S539, TH01, TPOX, CSF1PO, and D7S820. Due to the differences in type, quality, and/or quantity, samples may not be amplified using every kit. Insufficient DNA quality and/or quantity can affect the ability to generate a DNA typing result and is not an absolute determination that an individual did not come into contact with an item of evidence.

2. Insufficient DNA quality and/or quantity can affect the ability to generate DNA typing results.

b6
b7C
b7E

**Remarks:**

The supporting records for the opinions and interpretations expressed in this report are retained in the FBI files. The submitted items will be returned to you under separate cover. For questions about the content of this report, please contact Forensic Examiner [redacted] For questions about the status of your submission, including any remaining forensic examinations, please contact [redacted]

In addition to the evidence in the case, the Nuclear DNA Unit has generated secondary evidence that will also be returned to you. The secondary evidence can be found in a package marked NUCLEAR DNA UNIT SECONDARY EVIDENCE. It is recommended that this evidence be stored in a refrigerator or freezer and isolated from evidence that has not been examined.

[redacted]
Nuclear DNA Unit

This report contains the opinions/interpretations of the examiner(s) who issued the report.



111116014 NY [redacted]

b7E

FBI Laboratory

2501 Investigation Parkway
Quantico, Virginia 22135

# REPORT OF EXAMINATION

To: Buffalo
[redacted]
SA [redacted]

Date: January 17, 2013

Case ID No.: [redacted]

Lab No.: 111116014 NY [redacted]

b3
b6
b7C
b7E

Reference: Communication dated November 7, 2011

Your No.:

Title: UNSUB(s);
CAPITAL MANAGEMENT SERVICES-VICTIM;
THREATENED USE OF A WMD

Date specimens received: November 16, 2011

The following specimens were received in the Questioned Documents Unit on August 31, 2012:

| | | |
|---|---|---|
| Q1 | Envelope addressed to Capital Management Services [redacted] E4762176) | b3 b7E |
| Q1.1 | Stamp removed from Q1 [redacted] E4762176) | |
| Q2 | Page beginning "Fuck You..." [redacted] E4762176) | |
| Q4 | Envelope addressed to Capital Management Services [redacted] E4827145) | |
| Q4.1 | Stamp removed from Q4 [redacted] E4827145) | |
| Q4.2 | Return address label removed from Q4 [redacted] E4827145) | |
| Q4.3-Q4.4 | Tape removed from Q4 [redacted] E4827145) | |

b6
b7C
b7E

Q5 Plastic bag [redacted] E4827145)

Q5.1-Q5.2 Tape removed from Q5 [redacted] E4827145)

NE1 Page bearing notations beginning "726 Exchange 12-16-10..." [redacted] E4827145)

This report contains the results of the questioned document examinations.

**Results of Examinations:**

The Q1 and Q4 envelopes were manufactured with a moisture-activated flap. Furthermore, orange barcodes were observed on the Q1 and Q4 envelopes which may contain investigative information when decoded. Images of the barcodes on specimens Q1 and Q4 are retained.

Specimen Q1.1 is a self-adhesive, forty-four cent "Celebrate" postage stamp, dated 2009. This stamp was probably removed from a pane or block of stamps. The top cut edge and remaining machine-cut edges of the Q1.1 stamp are suitable for comparison with a known stamp(s), if located. If stamp comparisons are desired in the future, any corresponding known stamp(s) need to be submitted to this Laboratory, along with the original Q1.1 stamp.

Specimen Q4.1 is a self-adhesive, forty-four cent "U.S. Flag" postage stamp, dated 2009. This stamp was probably removed from a booklet. The edges of specimen Q4.1 are suitable for comparison with a known stamp(s), if located. If stamp comparisons are desired in the future, any corresponding known stamp(s) need to be submitted to this Laboratory, along with the original Q4.1 stamp.

Specimen Q4.2 and the footer of specimen Q2 were prepared using a toner printing process. This technology is available on numerous brand name office devices.

The torn edge of specimen Q2 and cut edges of specimen Q4.2 are suitable for comparison with corresponding known paper(s), if located. If torn/cut edge comparisons are desired in the future, any corresponding known papers(s) need to be submitted to this Laboratory, along with the original Q2 and Q4.2 specimens.

The cut/torn edges of specimens Q4.3, Q4.4, Q5.1, and Q5.2 are suitable for comparison with known tape, if located. If cut/torn edge comparisons are desired in the future, any corresponding known tape needs to be submitted to this Laboratory, along with the original Q4.3, Q4.4, Q5.1, and Q5.2 tape.



b6
b7C

b6
b7C
b7E

The Q5 bag is suitable for comparison with a known bag(s). If any comparable known bags are located during the course of the investigation, they should be submitted to this Laboratory, along with the original Q5 bag.

The hand printing appearing on specimen Q2 is suitable for comparison with a known standard(s).

Specimens Q1, Q2, Q4, and Q4.2 were searched through the

No indented writing or watermarks were observed on any of the questioned specimens. Additional assessments and observations have been made regarding the submitted specimens and recorded for possible future comparisons.



b6
b7C

b6
b7C

**Remarks:**

No examinations were conducted on item NE1. Specimens Q1.1 and Q4.1 are self-adhesive items. Accordingly, these items are not being forwarded to the Nuclear DNA Unit for analysis.

The submitted items will be returned at a later date. The supporting records for the opinions and interpretations expressed in this report are retained in the FBI files.

For questions about the content of this report, please contact Document Examiner [redacted]

For questions about the status of your submission, including any remaining forensic examinations, please contact [redacted]

[redacted]
Questioned Documents Unit

This report contains the opinions/interpretations of the examiner(s) who issued the report.



b6
b7C

b3
b6
b7C
b7E

FBI Laboratory

2501 Investigation Parkway
Quantico, Virginia 22135

# REPORT OF EXAMINATION

To: Buffalo
[redacted]
SA [redacted]

Date: January 28, 2013

Case ID No. [redacted]

Lab No.: 111116014 NY [redacted]

Reference: Communication dated November 7, 2011

Your No.:

Title: UNSUB(s);
CAPITAL MANAGEMENT SERVICES-VICTIM;
THREATENED USE OF A WMD

Date specimens received: November 16, 2011

The specimens listed below were received in the Latent Print Operations Unit:

| | | |
|---|---|---|
| Q1 | Envelope addressed to Capital Management Services [redacted] E4762176) | b3 b7E |
| Q1.1 | Stamp removed from Q1 [redacted] E4762176) | |
| Q2 | Page beginning "Fuck You..." [redacted] E4762176) | |
| Q4 | Envelope addressed to Capital Management Services [redacted] E4827145) | |
| Q4.1 | Stamp removed from Q4 [redacted] E4827145) | |
| Q4.2 | Return address label removed from Q4 [redacted] E4827145) | |
| Q4.3-Q4.4 | Tape removed from Q4 [redacted] E4827145) | |
| Q5 | Plastic bag [redacted] E4827145) | |
| Q5.1-Q5.3 | Tape removed from Q5 [redacted] E4827145) | |

b3
b6
b7C
b7E

NE1 Page bearing notations beginning "726 Exchange 12-16-10..."

This report documents the friction ridge print examinations.

**Results of Examinations:**

Two latent fingerprints of value were detected on Q1 and Q4.3. No latent prints of value were detected on the remaining specimens.

Automated fingerprint searches were conducted, but no identifications were effected.

**Methods:**

Items of evidence submitted to the Latent Print Operations Unit for examination may be examined visually, examined with various light sources, and/or processed with chemicals and powders to detect the presence of latent friction ridge prints. The specific sequence of examinations and processes depends upon the nature of the evidence.

Friction ridge print examinations are conducted using the Analysis, Comparison, Evaluation, and Verification methodology (ACE-V), which includes an assessment of the quantity and quality of the information present. The steps of ACE-V are applied to each examination as appropriate.

Analysis is the assessment of a friction ridge print to determine if sufficient reliable details are present to conduct a comparison with another print and to reach an identification conclusion. If these conditions are met, the print is determined to be of value.

Comparison is the direct side-by-side observation of friction ridge prints of value to determine whether or not the information in two prints is in agreement.

Evaluation is the formulation of a conclusion based on the information gathered during the analysis and comparison of the friction ridge prints.

b6
b7C

Conclusions that can be reached are as follows:

- Identification - the determination that there is sufficient quality and quantity of detail in agreement to conclude that two friction ridge prints originated from the same source.

- Exclusion - the determination that there is sufficient quality and quantity of detail in disagreement to conclude that two friction ridge prints did not originate from the same source.

- Inconclusive - the determination that corresponding areas of friction ridge prints are absent and/or unreliable and therefore cannot be identified or excluded.

b6
b7C
b7E

Verification is the independent application of the analysis, comparison, and evaluation phases of the ACE-V methodology to a friction ridge print by another examiner.

**Interpretations:**

Due to the many factors involved in the deposition of a friction ridge print, neither the absence of a friction ridge print on evidence nor the exclusion of a friction ridge print with a given source disassociate that source from having touched the evidence.

**Remarks:**

For questions about the content of this report, please contact Physical Scientist/Forensic Examiner [redacted]

For questions about the status of your submission, including any remaining forensic examinations, please contact [redacted]

The specimens and photographs of the detected latent prints of value are being returned under separate cover. The supporting records for the opinions and interpretations expressed in this report are retained in the FBI files.

b6
b7C
b7E

[redacted]
Latent Print Operations Unit

This report contains the opinions/interpretations of the examiner(s) who issued the report.

FD-302 (Rev. 10-6-95)



**FEDERAL BUREAU OF INVESTIGATION**

Date of transcription _____

On [redacted] at approximately [redacted] a trash cover was performed at [redacted]

b6
b7C
b7E

[redacted]

Investigation on [redacted] at [redacted]

b6
b7C
b7E

File # 281A-CG-128999-302 [redacted] ate dictated -36

by [redacted]

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1494813-000

Total Deleted Page(s) = 3
Page 2 ~ b7E;
Page 3 ~ Duplicate;
Page 4 ~ Duplicate;

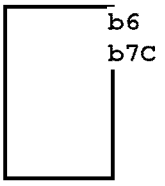
XXXXXXXXXXXXXXXXXXXXXXX
X Deleted Page(s) X
X No Duplication Fee X
X For this Page X
XXXXXXXXXXXXXXXXXXXXXXX

FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1494813-000

Total Deleted Page(s) = 8
Page 5 ~ b3; b6; b7C;
Page 6 ~ b3; b6; b7C;
Page 7 ~ b3; b6; b7C;
Page 9 ~ b3; b6; b7C;
Page 10 ~ b3; b6; b7C;
Page 11 ~ b3; b6; b7C;
Page 12 ~ b3; b6; b7C;
Page 13 ~ b3; b6; b7C;

XXXXXXXXXXXXXXXXXXXXXXX
X Deleted Page(s) X
X No Duplication Fee X
X For this Page X
XXXXXXXXXXXXXXXXXXXXXXX

281H-CG-123461 FIN 23



b6
b7C

281H-CG-123461 FIN 2-2

b6
b7C

(Rev. 01-31-2003)

# FEDERAL BUREAU OF INVESTIGATION

**Precedence:** ROUTINE **Date:** 02/23/2005

**To:** Chicago

**From:** Chicago
CE-9
**Contact:** SA [redacted] b6 b7C b7E

**Approved By:** [redacted]

**Drafted By:** [redacted]

**Case ID #:** 281H-CG-123461 (~~Pending~~)

**Title:** [redacted]
EOC

**Synopsis:** Opening of subfiles.

**Details:** The following subfiles are being opened relative to this matter:

(1) Sub 1A: 1A Exhibits
(2) Sub 1B: FD-192's (Evidence)
(3) Sub 1C: FD-192's (Bulky, Non-Evidence)
(4) Sub 302: FD-302's and Inserts
(5) Sub CE: Case Expenditures
(6) Sub GJ: Grand Jury Material
(7) Sub SBJ 1: [redacted] b6 b7C
(8) Sub FIN 1: Amex, LLC
(9) Sub FIN 2: Capital Management Services, Inc.
(10) Sub REP: Charts, Analysis
(11) Sub SBP: Subpoenas

♦♦

S:054[redacted]01.ec

281H-CG-123461-FIN-2-1

FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1494813-000

Total Deleted Page(s) = 8
Page 7 ~ b3; b6; b7C; b7E;
Page 8 ~ b3; b6; b7C; b7E;
Page 14 ~ Duplicate;
Page 15 ~ Duplicate;
Page 16 ~ Duplicate;
Page 17 ~ Duplicate;
Page 18 ~ Duplicate;
Page 19 ~ Duplicate;

XXXXXXXXXXXXXXXXXXXXXXX
X Deleted Page(s) X
X No Duplication Fee X
X For this Page X
XXXXXXXXXXXXXXXXXXXXXXX

# United States District Court

DISTRICT OF Puerto Rico

TO: [redacted] b3 b6 b7C

## SUBPOENA TO TESTIFY BEFORE GRAND JURY

SUBPOENA FOR: [redacted]

YOU ARE HEREBY COMMANDED to appear and testify before the Grand Jury of the United States District Court at the place, date, and time specified below.

| PLACE | ROOM |
|---|---|
| US DISTRICT COURT FOR THE<br>DISTRICT OF PUERTO RICO<br>COURTHOUSE & FEDERAL BUILDING<br>CHARDON AVENUE<br>HATO REY PR | Grand Jury Room |
| | DATE AND TIME [redacted] |

YOU ARE ALSO COMMANDED to bring with you the following document(s) or object(s):*

[redacted]

☐ *Please see additional information on reverse*

This subpoena shall remain in effect until you are granted leave to depart by the court or by an officer acting on behalf of the court.

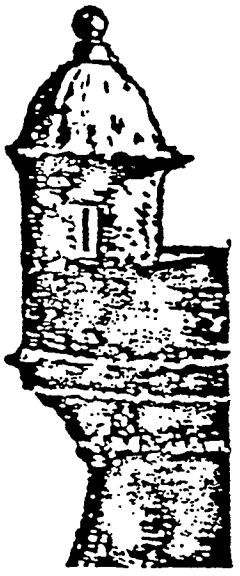
| CLERK | DATE |
|---|---|
| Lydia Pelegrin, Esq., | 11/29/93 |
| (BY) DEPUTY CLERK | [redacted] b6 b7C |
| [redacted] | ANT U.S. ATTORNEY<br>sistant US Attorney<br>Room 492 - Federal Building<br>Chardon Avenue, Hato Rey PR 00918 |

*If not applicable, enter "none."

To be used in lieu of AO110

FORM OBD-227
JAN. 86

FD-448 (Rev. 5-23-90)



# FBI SAN JUAN

## FBI FACSIMILE COVERSHEET

### CLASSIFICATION

**PRECEDENCE**

- ☐ Immediate
- ☐ Priority
- ☑ Routine

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☑ Unclassified

Time Transmitted: _____
Sender's Initials: _____
Number of Pages: _____

To: CAPITOL MANAGEMENT Date: 11/24/93
(Name)

Facsimile number:

Attn:
(Name) (Room) (Telephone No.)

From: FBI - San Juan
(Name of Office)

Subject:

Special Handling Instructions: Pls hundcurry ASAP to

Originator's Name: SA Telephone:

Originator's Facsimile Number:

Approved:

b3
b6
b7C

b3
b6
b7C

199-55-30417 FBI/DOJ 55

SEARCHED INDEXED
SERIALIZED FILED

U.S. Department of Justice

Federal Bureau of Investigation

In Reply, Please Refer to
File No. 29B-SJ-30417

Box 366269
San Juan, Puerto Rico 00936-6269
November 24, 1993

CAPITOL MANAGEMENT
Rockville, Maryland
Facsimile # (301) 231-0309

Attention: [redacted]

[redacted]

b3
b6
b7C

Pursuant to a telephone conversation with Special Agent (SA) [redacted] We would appreciate a signed sworn statement detailing [redacted]

We have contacted the Unites States Attorney's Office in San Juan [redacted]

b3
b6
b7C

If you have any questions, please contact SA [redacted] at (809) 754-6000. We appreciate your cooperation in this matter.

Sincerely,

Robert J. Opfer
Special Agent in Charge

[redacted]

Supervisory Special Agent

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 11/24/93

b6
b7C

was telephonically contacted at CAPITOL MANAGEMENT, Rockville Maryland, telephone number was advised of the identity of the interviewing agent and of the nature of the interview. then provided the following information:

advised that CAPITOL MANAGEMENT (CM) manages six apartment complexes throughout Puerto Rico on behalf of six limited partnerships which own CM. recalled that were the administrators of the complexes for CM until June 1991. was considered a "general partner" in the company owning approximately a 2% interest in CM.

b6
b7C

As part of his administrative duties, were required to obtain a fidelity bond and property insurance. advised that in the event of damage to the complexes, on behalf of CM. was very specific in stating that in an insurance claim payment "should be rendered in favor of CAPITOL MANAGEMENT". At the very least, the check should be issued in the name of both CM and

then said if any monies received from an insurance claims were to specifically used to repair the damage caused by a hurricane or any other type of disaster. said that this is because the apartment complexes are subsidized by the FARMERS HOME ADMINISTRATION (FHA) and it is specifically stated the section "8" of the regulations in which CM must follow. said that did not and would not have had a right to receive money from any insurance claim belonging to CM.

(telephonically)

Investigation on 11/24/93 at Hato Rey, P.R. File # 29B-SJ-30417

by SA Date dictated 11/24/93

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

b6
b7C

[redacted] further stated to the interviewing agent that CM discovered that [redacted] said that CM obtained statements from the "on-site" managers which stated that no repair work had been done.

[redacted] advised that this is among the reasons why [redacted] were removed by CM. [redacted] advised that at that time [redacted] further advised that a fidelity bond claim was filed with an insurance company to recover the money taken by [redacted] said that [redacted]

On 11/30/93, SSA [redacted] SA [redacted] AUSA [redacted] and USA GIL met in conference regarding 194A-SJ-31386. During this conference SA [redacted] reported that [redacted] b5 b6 b7C

Furthermore, the issue whether an extortion existed under Title 18, USC Section 1951 (Hobbs Act) "under color of official right" was addressed. AUSA [redacted] advised that he has [redacted] b3 b5 b6 b7C

[redacted]

b3
b6
b7C

[redacted]

VIA FACSIMILE [redacted]

December 2, 1993

[redacted]
Special Agent
FBI San Juan
Box 366269
San Juan, PR 00936-6269

Re: Your File No. 29B-SJ-30417

Dear [redacted]

b3
b6
b7C

[redacted]

I await your timely response.

[redacted]

29B-SJ-30417 -56X1

SEARCH [redacted]
SERIAL [redacted]

DEC 2 8 1993

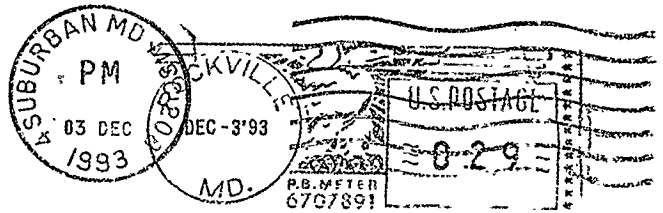
FOIMS..... FBI SAN JUA [redacted]

[redacted]

[redacted]

[redacted]

[redacted]




b6
b7C

FBI San Juan
Box 366269
San Juan, PR 00936-6269

[redacted]

00936-6269

FD-302 (Rev. 3-10-82)



FEDERAL BUREAU OF INVESTIGATION

Date of transcription 11-24-93

On November 19, 1993, the writer attempted to serve a subpoena [redacted] Additionally, the writer serve a Target Letter to [redacted]

b3
b6
b7C

The writer approached the address and found the front gate locked with a padlock, and observed through the front window the house to be vacant. The writer rang the front door bell, heard no sound, and received no response. The garage was empty, and the landscaping appeared unkept.

The writer asked the female neighbor (refused to give name) across the street if anyone was home at the house, and she advised that no one lives there any longer.

The subpoena and the Target Letter were not served.

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]

b6
b7C

Investigation on 11-19-93 at [redacted] 29B-SJ-30417 - 81

by SA [redacted] Date dictated 11-24-93

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

FD-302 (Rev. 3-10-82)



FEDERAL BUREAU OF INVESTIGATION

Date of transcription 11/24/93

b6
b7C

was telephonically contacted at his place of business. was advised of the identity of the interviewing agent and of the nature of the interview. then provided the following information:

was currently managing five apartment complexes in several locations throughou the island. The apartments are owned by a group of partnerships known as CAPITOL MANAGEMENT (CM). recalled that (FWRI) managed the apartments for CM and was involved with CM as a general partner with a small participation (investment) in CM.

b6
b7C

In June of 1991, were removed as administrator of the apartments and as a general partner with CM. said that could provide more details regarding involvement with CM.

said that could be reached at

(telephonically)

Investigation on 11/24/93 at Hato Rey, P.R. File # 29B-SJ-30417 - 82

by SA Date dictated 11/24/93

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

# Memorandum

To : SAC, SAN JUAN (80-109) Date 12/9/93

[redacted] b6 b7C

SSA [redacted]

Subject: LIAISON WITH THE UNITED STATES ATTORNEY (USA) GUILLERMO GIL, DISTRICT OF PUERTO RICO;

Re memo dated 10/29/93, captioned "Liaison Conference with USA GUILLERMO GIL, District of Puerto Rico, 10/25/93.

Re memo documented contact with USA GIL regarding the following matters: 29B-SJ-30417, 194A-SJ-31386, 196B-SJ-30828, and 194B-SJ-29157.

This memo documents status of the above referenced matters and the numerous liaison contacts subsequent to the above referenced memo.

On 10/25/93, a conference was held [redacted] b3 b5 b6 b7C

For information of the file, 29B-SJ-30417 was indicted on 12/8/93; 194A-SJ-31386 will be indicted after January, 1994 (a status conference is documented elsewhere in this memo), 196B-SJ-30828 was indicted on 11/30/93; and 194B-SJ-29157 was indicted on 11/30/93.

For additional information, 29E-SJ-30465 has received [redacted] Subpoenas have been issued and served [redacted]

1 - SAC
1 - ASAC
1 - SSA [redacted]
1 - 29B-SJ-30417
1 - 29E-SJ-30465
1 - 194B-SJ-29157
1 - 194A-SJ-31386
1 - 196B-SJ-30828
1 - 80-109
[redacted]

bSA [redacted] 2/24/94

29B-SJ-30417 -

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]

[redacted]

[redacted]

CSIMILE AND
IIGHT MAIL

[redacted]

b3
b6
b7C
b7E

November 30, 1993

[redacted] Special Agent
U.S. Department of Justice
Federal Bureau of Investigation
Box 366269
San Juan, Puerto Rico 00936-6269

Re: Your File #29B-SJ-30417

Dear [redacted]

Pursuant to your letter of November 24, 1993, enclosed is a signed sworn statement by [redacted]

The transcript of the telephone interview between Special Agent [redacted] contained several inaccuracies. The enclosed sworn statement [redacted]

b3
b6
b7C

If you have any additional questions, please send them in writing to [redacted]

[redacted]

Enclosure
cc: [redacted]

29B-SJ-30417-56

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]

DEC 0 1 1993

FOIMS..... [redacted]
FBI SAN J

FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1494813-000

Total Deleted Page(s) = 12
Page 4 ~ Duplicate;
Page 5 ~ Duplicate;
Page 6 ~ Duplicate;
Page 7 ~ Duplicate;
Page 10 ~ Duplicate;
Page 11 ~ Duplicate;
Page 12 ~ Duplicate;
Page 13 ~ Duplicate;
Page 16 ~ Duplicate;
Page 17 ~ Duplicate;
Page 18 ~ Duplicate;
Page 19 ~ Duplicate;

XXXXXXXXXXXXXXXXXXXXXXX
X Deleted Page(s) X
X No Duplication Fee X
X For this Page X
XXXXXXXXXXXXXXXXXXXXXXX

FD-340 (Rev. 8-18-89)

1A- 37

**Universal File Case Number** 29B- 30417

**Field Office Acquiring Evidence** SJ

**Serial # of Originating Document** _____

**Date Received** 6/30/94

**From** FBI - Baltimore
(Name of Contributor)

_____
(Address of Contributor)

_____
(City and State)

**By** SA _____
(Name of Special Agent)

b6
b7C

To Be Returned ☐ Yes ☒ No
Receipt Given ☐ Yes ☒ No
Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure
☐ Yes ☒ No

**Title:**

Reference: _____
(Communication Enclosing Material)

**Description:** ☐ Original notes re interview of

Subpoena served on Capital Mgmt.

# United States District Court

FOR THE DISTRICT OF PUERTO RICO

UNITED STATES OF AMERICA

V.

[redacted]

**SUBPOENA**

CASE NUMBER: [redacted]

b6
b7C

| TYPE OF CASE | SUBPOENA FOR |
|---|---|
| ☐ CIVIL ☒ CRIMINAL | ☒ PERSON ☐ DOCUMENT(S) or OBJECT(S) |

TO: [redacted]

YOU ARE HEREBY COMMANDED to appear in the United States District Court at the place, date, and time specified below to testify in the above case.

| PLACE | COURTROOM |
|---|---|
| UNITED STATES DISTRICT COURT<br>FOR THE DISTRICT OF PUERTO RICO<br>FEDERAL BUILDING<br>CARLOS CHARDON AVE.<br>HATO REY, PR 00918 | HONORABLE JUDGE<br>JOSE A. FUSTE<br>DATE AND TIME<br>WEDNESDAY, JUNE 15, 1994<br>AT 9:00 A.M. |

YOU ARE ALSO COMMANDED to bring with you the following document(s) or object(s):*

☐ *See additional information on reverse*

This subpoena shall remain in effect until you are granted leave to depart by the court or by an officer acting on behalf of the court.

| U.S. MAGISTRATE OR CLERK OF COURT | DATE |
|---|---|
| LYDIA PELEGRIN | |

[redacted]

b6
b7C

This subpoena is issued upon application of the [redacted]

☐ Plaintiff ☐ Defendant ☒ U.S. Attorney

QUESTIONS MA[redacted] ASSIS[redacted] U.S. ATTORNEY
U.S. ATTORNEY'S OFFICE, CRIMINAL DIVISION
FEDERAL BUILDING, ROOM 452
HATO REY, PR 00918 TEL. [redacted]
ATTORNEY'S NAME, ADDRESS AND PHONE NUMBER

*If not applicable, enter "none."

To be used in lieu of AO89

FORM OBD-226
JAN. 86

## RETURN OF SERVICE[1]

| | | |
|---|---|---|
| RECEIVED BY SERVER | DATE: 6/15/94 | PLACE: [redacted] |
| SERVED | DATE: | PLACE: |

b6
b7C

SERVED ON (NAME):

FEES AND MILEAGE TENDERED TO WITNESS[2]: ☐ YES ☒ NO AMOUNT $ _____

SERVED BY: [redacted]

TITLE: SPECIAL AGENT, FBI

## STATEMENT OF SERVICE FEES

| TRAVEL | SERVICES | TOTAL |
|---|---|---|
| | | |

## DECLARATION OF SERVER[2]

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Return of Service and Statement of Service Fees is true and correct.

Executed on _____
*Date*

[redacted]
SA, FBI
*Sig*

[redacted]
*Address of Server*

ADDITIONAL INFORMATION

(1) As to who may serve a subpoena and the manner of its service see Rule 17(d), Federal Rules of Criminal Procedure, or Rule 45(c), Federal Rules of Civil Procedure.

(2) "Fees and mileage need not be tendered to the deponent upon service of a subpoena issued on behalf of the United States or an officer or agency thereof (Rule 45(c), Federal Rules of Civil Procedure; Rule 17(d), Federal Rules of Criminal Procedure) or on behalf of certain indigent parties and criminal defendants who are unable to pay such costs (28 USC 1825, Rule 17(b) Federal Rules of Criminal Procedure)".

# United States District Court

FOR THE DISTRICT OF PUERTO RICO

UNITED STATES OF AMERICA

V.

[redacted]

## SUBPOENA

CASE NUMBER: [redacted]

b6
b7C

| TYPE OF CASE | SUBPOENA FOR |
|---|---|
| ☐ CIVIL ☒ CRIMINAL | ☒ PERSON ☐ DOCUMENT(S) or OBJECT(S) |

TO: [redacted]

YOU ARE HEREBY COMMANDED to appear in the United States District Court at the place, date, and time specified below to testify in the above case.

| PLACE | COURTROOM |
|---|---|
| UNITED STATES DISTRICT COURT<br>FOR THE DISTRICT OF PUERTO RICO<br>FEDERAL BUILDING<br>CARLOS CHARDON AVE.<br>HATO REY, PR 00918 | HONORABLE JUDGE<br>JOSE A. FUSTE<br>DATE AND TIME<br>WEDNESDAY, JUNE 15, 1994,<br>AT 9:00 A.M. |

YOU ARE ALSO COMMANDED to bring with you the following document(s) or object(s):*

☐ *See additional information on reverse*

This subpoena shall remain in effect until you are granted leave to depart by the court or by an officer acting on behalf of the court.

| U.S. MAGISTRATE OR CLERK OF COURT | DATE |
|---|---|
| LYDIA PELEGRIN | JUNE 13, 1994 |

(B[redacted]

b6
b7C

This subpoena is issued upon application of the: [redacted] S. ATTORNEY

☐ Plaintiff ☐ Defendant ☐ U.S. Attorney

U.S. ATTORNEY'S OFFICE, CRIMINAL DIVISION
FEDERAL BUILDING, ROOM 452
HATO REY, PR 00918 TEL. [redacted]
ATTORNEY'S NAME, ADDRESS AND PHONE NUMBER

*If not applicable, enter "none."

To be used in lieu of AO89

FORM OBD-226
JAN. 86

## RETURN OF SERVICE[1]

| | | |
|---|---|---|
| RECEIVED BY SERVER | DATE 6/15/94 | PLACE [redacted] b6 b7C |
| SERVED | DATE | PLACE |

| | |
|---|---|
| SERVED ON (NAME) | FEES AND MILEAGE TENDERED TO WITNESS[2] ☐ YES ☒ NO AMOUNT $ _____ |
| SERVED BY [redacted] | TITLE SPECIAL AGENT, FBI |

## STATEMENT OF SERVICE FEES

| TRAVEL | SERVICES | TOTAL |
|---|---|---|
| | | |

## DECLARATION OF SERVER[2]

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Return of Service and Statement of Service Fees is true and correct.

Executed on _____ [redacted], SA, FBI b6 b7C
*Date* *Signature of Server*

[redacted]
*Address of Server*

ADDITIONAL INFORMATION

[redacted]

(1) As to who may serve a subpoena and the manner of its service see Rule 17(d), Federal Rules of Criminal Procedure, or Rule 45(c), Federal Rules of Civil Procedure.

(2) "Fees and mileage need not be tendered to the deponent upon service of a subpoena issued on behalf of the United States or an officer or agency thereof (Rule 45(c), Federal Rules of Civil Procedure; Rule 17(d), Federal Rules of Criminal Procedure) or on behalf of certain indigent parties and criminal defendants who are unable to pay such costs (28 USC 1825, Rule 17(b) Federal Rules of Criminal Procedure)".

# United States District Court

FOR THE DISTRICT OF PUERTO RICO

UNITED STATES OF AMERICA

V.

[redacted]

**SUBPOENA**

CASE NUMBER: [redacted]

b6
b7C

| TYPE OF CASE | SUBPOENA FOR |
|---|---|
| ☐ CIVIL ☒ CRIMINAL | ☒ PERSON ☐ DOCUMENT(S) or OBJECT(S) |

TO: [redacted]

YOU ARE HEREBY COMMANDED to appear in the United States District Court at the place, date, and time specified below to testify in the above case.

| PLACE | COURTROOM |
|---|---|
| UNITED STATES DISTRICT COURT<br>FOR THE DISTRICT OF PUERTORICO<br>FEDERAL COURTHOUSE BUILDING<br>CHARDON AVENUE<br>HATO REY, PUERTO RICO | HONORABLE JUDGE<br>JOSE A. FUSTE |
| | DATE AND TIME<br>WEDNESDAY, JUNE 15, 1994<br>AT 9:00 A.M. |

YOU ARE ALSO COMMANDED to bring with you the following document(s) or object(s):*

☐ *See additional information on reverse*

This subpoena shall remain in effect until you are granted leave to depart by the court or by an officer acting on behalf of the court.

| U.S. MAGISTRATE OR CLERK OF COURT | DATE |
|---|---|
| LYDIA PELEGRIN | JUNE 13, 1994 |

[redacted]

b6
b7C

This subpoena is issued upon application of the:

☐ Plaintiff ☐ Defendant ☒ U.S. Attorney

ASSISTANT U.S. ATTORNEY
UNITED STATES ATTORNEY'S OFFICE
ROOM 452, FEDERAL BUILDING, CHARDON AVENUE
HATO REY, PUERTO RICO 00918

ATTORNEY'S NAME, ADDRESS AND PHONE NUMBER [redacted]

*If not applicable, enter "none."

To be used in lieu of AO89

FORM OBD-226
JAN. 86

## RETURN OF SERVICE[1]

| | | |
|---|---|---|
| RECEIVED BY SERVER | DATE 6/15/94 | PLACE [redacted] b6 b7C |
| SERVED | DATE | PLACE |

| | |
|---|---|
| SERVED ON (NAME) | FEES AND MILEAGE TENDERED TO WITNESS[2] ☐ YES ☒ NO AMOUNT $ _____ |
| SERVED BY [redacted] | TITLE SPECIAL AGENT, FBI |

## STATEMENT OF SERVICE FEES

| TRAVEL | SERVICES | TOTAL |
|---|---|---|
| | | |

## DECLARATION OF SERVER[2]

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Return of Service and Statement of Service Fees is true and correct.

Executed on _____
*Date*

[redacted] b6 b7C
SA FBI

[redacted]
*Address of Server*

ADDITIONAL INFORMATION

[redacted]

(1) As to who may serve a subpoena and the manner of its service see Rule 17(d), Federal Rules of Criminal Procedure, or Rule 45(c), Federal Rules of Civil Procedure.

(2) "Fees and mileage need not be tendered to the deponent upon service of a subpoena issued on behalf of the United States or an officer or agency thereof (Rule 45(c), Federal Rules of Civil Procedure; Rule 17(d), Federal Rules of Criminal Procedure) or on behalf of certain indigent parties and criminal defendants who are unable to pay such costs (28 USC 1825, Rule 17(b) Federal Rules of Criminal Procedure)".

[redacted]

[redacted]

FCIC REPLY
SUPP ENTERED [redacted]

b6
b7C

[redacted]

NCIC REPLY1
SUPP NAM [redacted]

b6
b7C

MKE/WANTED PERSON
ORI [redacted]

OFF [redacted]
MIS [redacted]
AKA [redacted]
NIC [redacted]

| NCIC | | | AGENT |
|---|---|---|---|
| ENTER | ☒ | | |
| MODIFY | ☐ | | |
| CLEAR | ☐ | | |
| CANCEL | ☐ | | |
| LOCATE | ☐ | | |

DATE 6/16/92 NCIC # [redacted]

88A-mm-57565-14

ENLARGED [redacted]

JUN 10 1992

[redacted]

[redacted] was personally familiar with [redacted] b6 b7C b7D
who she described as [redacted]
advised that [redacted]
[redacted] in which [redacted] also known as
[redacted] had reportedly [redacted]
[redacted] understanding
[redacted] Sometime in the
past, [redacted]
[redacted] Based upon this
[redacted]

[redacted] advised CAPITAL MANAGMENT SERVICES was involved b6 b7C b7D
[redacted]
[redacted] requested confidentiality in
providing the above information. [redacted]

[redacted]

88A-MM-57565

[redacted]

b6
b7C
b7D

Agent [redacted] The following investigation was conducted by Special [redacted]

AT MIAMI, FLORIDA

On [redacted] CAPITAL MANAGEMENT SERVICES, 1570 Madruga Avenue, Suite 200, Coral Gables, Florida, was contacted at her office telephone number of [redacted] Beginning in July of 1991, CAPITAL MANAGEMENT SERVICES took over responsibility for the commercial property located at 12221 Taft Street, Pembroke Pines, Florida. At the time, the property was occupied by a business known as "BUSY BEE FOOD SPOT." BUSY BEE FOOD SPOT was [redacted] described [redacted] as a white male [redacted] provided the following information on [redacted]

b6
b7C

| | |
|---|---|
| Social Security Account Number: | [redacted] |
| Florida drivers license number: | |
| Current business address: | |
| Second business address: | |
| Telephone number: | |
| Pager number: | |

[redacted] also provided the following information for [redacted]

| | |
|---|---|
| Social Security Account Number: | [redacted] |
| Florida drivers license number: | |
| Home address: | |

88A-mm-57565-15

[redacted]

FCIC REPLY
RCD ENTERED NAM[redacted]

b6
b7C

[redacted]

NCIC REPLY [redacted]
NAM[redacted]

b6
b7C

[redacted]

FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1494813-000

Total Deleted Page(s) = 2
Page 1 ~ Duplicate;
Page 2 ~ Duplicate;

XXXXXXXXXXXXXXXXXXXXXXX
X Deleted Page(s) X
X No Duplication Fee X
X For this Page X
XXXXXXXXXXXXXXXXXXXXXXX

FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1494813-000

Total Deleted Page(s) = 2
Page 3 ~ Duplicate;
Page 4 ~ Duplicate;

XXXXXXXXXXXXXXXXXXXXXXX
X Deleted Page(s) X
X No Duplication Fee X
X For this Page X
XXXXXXXXXXXXXXXXXXXXXXX

[redacted] They left no forwarding address with [redacted] had no further contact with the [redacted] recalled that at one time during his residence [redacted] had worked at a small convenience store located several blocks north on Federal Highway in a small shopping center with a large video store in the corner facing US 1. [redacted] advised this was [redacted] a convenience store on Taft Street in Pembroke Pines. [redacted] had heard from the [redacted] of [redacted] They left the apartment shortly after this occurred.

b6
b7C

[redacted] recalled that at one time, [redacted] owned an [redacted] vehicle of an unknown make. She had vague recollection that [redacted] had very few visitors at their apartment. [redacted] had no information concerning relatives or associates residing in the area. [redacted] could provide no further information.

On the same date, [redacted] MINI MAX FOOD STORE, 736 South Federal Highway, Dania, Florida, was contacted at her business. [redacted] advised, after viewing a photograph of [redacted] had in the past worked odd jobs on an infrequent basis at the store. She further advised [redacted] did things such washing windows or other odd jobs for the store. [redacted] had not seen [redacted] for approximately eighteen (18) months. She had no information concerning his possible current whereabouts.

b6
b7C

On the same date the UNITED STATES POSTAL SERVICE OFFICE on Northwest 2nd Avenue in Dania Beach, Florida, advised there was no Change of Address Card on file for [redacted]

88A-MM-57565

[redacted] b6 b7C

The following investigation was conducted by Special Agent (S[redacted]

At Pembroke Pines, Florida

On June 11, 1992, the Records Section of the PEMBROKE PINES POLI1CE DEPARTMENT (PPPD), 9500 Pines Boulevard, Pembroke Pines, Florida, telephone number (305) 431-2200, was contacted regarding [redacted] A copy of (PPPD) report number [redacted] was obtained which reported [redacted] incident at [redacted] The report revealed [redacted] The report referred to [redacted] indicating that she was [redacted]

The Records Department also provided a Notice to Appear (NTA), number [redacted] which documented [redacted] as having been sighted on [redacted] listed his address on the NTA as [redacted] which was the listed address on his Florida Driver's License, number [redacted]

The PPPD Records Section also provided a black and white photograph of [redacted] b6 b7C

On the same date, [redacted] VIDEOS AND MORE, was contacted at the business, 12221 Taft Street, Pembroke Pines, Florida. [redacted] advised he had opened his business at the Taft Street address in [redacted] He had arranged lease of the commercial property through [redacted] a representative of CAPITAL MANAGEMENT SERVICES, 1570 Madruga Avenue, Suite 200, Coral Gables, Florida, telephone number [redacted] was aware that BUSY BEE FOOD STORE had previously occupied the Taft Street address. [redacted] thought the owner of BUSY BEE FOOD STORE had closed the store in the latter part of December, 1991.

On the same date, [redacted] advised [redacted] During that time, [redacted]

88A-MM-57565-18